



LC HEALTHCARE FUND II, L.P.

5th Environmental and Social Performance Monitoring Report

Reporting Period: January 1, 2025-December 31, 2025

Report Completion: May 2026

ANNUAL ENVIRONMENTAL AND SOCIAL PERFORMANCE MONITORING REPORT

Prepared by Legend Capital for Asian Infrastructure Investment Bank

Abbreviations

AIIB	Asian Infrastructure Investment Bank
EHS	Environmental, Health and Safety
ESMS	Environmental and Social Management System
ESG	Environmental, Social, Governance
AESPR	Annual Environmental and Social Performance Monitoring Report
E&S	Environmental and Social
GRM	Grievance Redress Mechanism
HC	Healthcare
IC	Investment Committee
LC	Legend Capital
R&D	Research and Development

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This is the 5th annual environmental and social performance monitoring report (AESPR) presenting the status of environmental and social management system (ESMS) implementation for LC Healthcare Fund (hereafter “LC HC II”) from 1 January 2025 to 31 December 2025.

I. ESPR Preparer

Report prepared by: Li Yong, ESMS manager of LC Healthcare Fund II.

E-mail: liyong@legendcapital.com.cn

Report Date: May 2026

II. Organizational Structure and Implementation of Environmental and Social Management Systems

The current version of the ESMS was issued in 2020 and there has been no update since then. The organizational structure and responsibilities are elaborated in the Table 1. This ESMS arrangement functioned well and there have been no changes as end of 2025.

Table 1 ESMS Implementation Arrangement

Role	Composition and Responsibilities
ESG Committee	The highest decision-making body for ESG management, chaired by the Firm’s President. Committee Members: Chief Investment Officer, Chief Operating Officer, Managing Directors & heads of the fund teams, General Legal Counsel, and Managing Director in charge of the management consultant team. Responsibilities of ESG Committee:

	- Formulate medium and long-term strategy plans for ESG investing; - Approve the policies, systems and procedures about ESG investing; - Guide and supervise the work of ESG Working Group; - Regularly review the progress of ESG work via reports.
Investment Committee ("IC") of LC HC II:	The IC of LC HC II is composed for the firm's President and the Managing Directors of LC HC II. The IC will be responsible for reviewing the screening and due diligence results in relation to E&S.
ESG Working Group	Execution body for ESG management, led by Managing Director in charge of management consultant team. Group Leader: Managing Director in charge of the management consultant team. Group Members: ESG coordinators from the fund teams, investor relation team, public relation team, legal team, management consultant and financial consultant teams. Responsibilities of ESG Working Group: - Provide decision-making support for the medium and long-term ESG strategy; - Provide specialized support to formulate ESG-related policies, systems and procedures; - Plan, organize and implement ESG-related research and knowledge management activities; - Implement and promote ESG-related investing policies and monitor the relevant processes;

	- Fulfil LPs' requirements on ESG surveys and related information disclosure; - Work with PRI to implement information disclosure and other requirements.
ESMS Manager of LC HC Fund II	The ESMS Manager will oversee the implementation of the ESMS and ensure that these procedures are integrated with LC HC II's business processes for evaluating a project's financial risks. The responsibilities of the ESMS Manager include: • Cooperate with ESMS coordinator to supervise the implementation of ESMS; • Periodically review the operational difficulties or issues arising from ESMS implementation, arrange meetings to propose solutions, and report to ESG Committee for decision making when necessary; • Prepare the Annual Environmental & Social Performance Report to AIIB based on the annual performance reports provided by its investee companies. LC HC II will also ensure that the AIIB is notified if and when the ESMS Manager leaves that position, and will provide the AIIB the name of the new ESMS Manager. The ESMS Manager will maintain a file of qualified environmental and social consultants who can be called upon to assist in conducting environmental and social reviews.

ESMS Coordinator	• Evaluate environmental compliance of potential investee company with applicable requirements during due diligence, such as site visits, collection of necessary E&S documentation, and prepare E&S due diligence reports; • Supervise portfolio project's on-going compliance with the applicable requirements on a regular basis, which may include: - Conducting site visits, monitoring the implementation of E&S action plan (if any) by the investee company, reviewing investee company's annual reports, and recording investee company's E&S on-going performance; - Resolving E&S issues in case of non-compliance, and where needed, preparing a time-bound correction action plan with specific follow-up procedures.
Project manager	• Conduct initial E&S risk screening during project identification stage; • Provide necessary support for ESMS manager.
Legal Department	• Ensures that LC HC Fund II's environmental and social requirements are incorporated in legal agreements for each transaction; • Advise if an investee's non-compliance with environmental and social clauses constitutes a breach of contract and is considered an Event of Default under the terms of the legal agreement that requires follow-up by Senior Management.

Table 2 gives contacts of key persons holding responsibility for environmental and social performance. There have been no changes in the personnel responsible for environmental and social management compared to the previous reporting period.

Table 2: Deployment of Environment and Social Management Staff

Name	Position	Contact
Wang Yan	Director of Brand and Public Relations	wangyan@legendcapital.com.cn
Li Yong	Chief Management Consultant/ESMS Manager	liyong@legendcapital.com.cn
Liu Yaxu	Investment assistant/ESMS coordinator	liuyx@legendcapital.com.cn

On December 15th 2025, Healthcare Fund has conducted an ESMS refresher training for LC HC SEA Fund I. The training including DEG's key requirements with a focus on IFC Performance Standards (esp. PS 1& PS 2), ILO Fundamental Standards and Basic Terms and Conditions of Employment and updated Healthcare SEA Fund ESMS specially emphasis on the differences from the previous version.

The targeted trainees including the Healthcare Fund Team, Management Consultants, Financial Counsel and Legal Counsel, ensuring that key decision-makers were well-equipped with comprehensive ESG knowledge. Detailed records of the training, including schedule, content and participant are shown below.

Table 3: Legend Capital ESRM Training

Legend Capital ESRM Training			
Date	2025.12.15		Key requirements with a focus on IFC Performance Standards (esp. PS 1& PS 2), ILO Fundamental Standards and Basic Terms and Conditions of Employment.
Location	Legend Capital Beijing Office & Online		Review of the ESMS evaluation and future improvement opportunities; Phase 4 assessment findings and areas requiring future attention and refinement.



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君联资本东南亚医疗健康 环境与社会风险管理體系

阶段五 回顾培训

2025年12月

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尽职调查相关标准

IFC 环境和社会可持续性绩效标准



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Investment Assessment Period	2018 (new)	Recognize I&S Risk	Environmental Land-based Law	I&S Performance of the Project	IFC PS	External I&S assessment	I&S Top Outcomes Method
Investment Assessment I&S	1.1 - A	✓	✓	✓	At applicable PS 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, 35, 36, 37, 38, 39, 40, 41, 42, 43, 44, 45, 46, 47, 48, 49, 50, 51, 52, 53, 54, 55, 56, 57, 58, 59, 60, 61, 62, 63, 64, 65, 66, 67, 68, 69, 70, 71, 72, 73, 74, 75, 76, 77, 78, 79, 80, 81, 82, 83, 84, 85, 86, 87, 88, 89, 90, 91, 92, 93, 94, 95, 96, 97, 98, 99, 100	✓	External I&S Assessment (S&L)
Investment Period 2 (Sustainability)	1.1 - B	✓	✓	✓	PS 1 & 2	-	1.1
	1.1 - C	✓	✓	✓	PS 1 & 2	-	1.2
	1.2 - A	✓	✓	✓	At applicable PS 1, 2	✓	External I&S Assessment (S&L)
	1.2 - B	✓	✓	✓	At applicable PS 1, 2	✓	External I&S Assessment (S&L)
	1.2 - C	✓	✓	✓	-	-	1.3

尽调方法:

- 排除清单
- 所在国法律法规
- IFC 绩效标准 1-8 (选用的)
- ILC核心公约与基本禁止条款

• AIGD+类需要外部ES专家参与

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尽调方法:

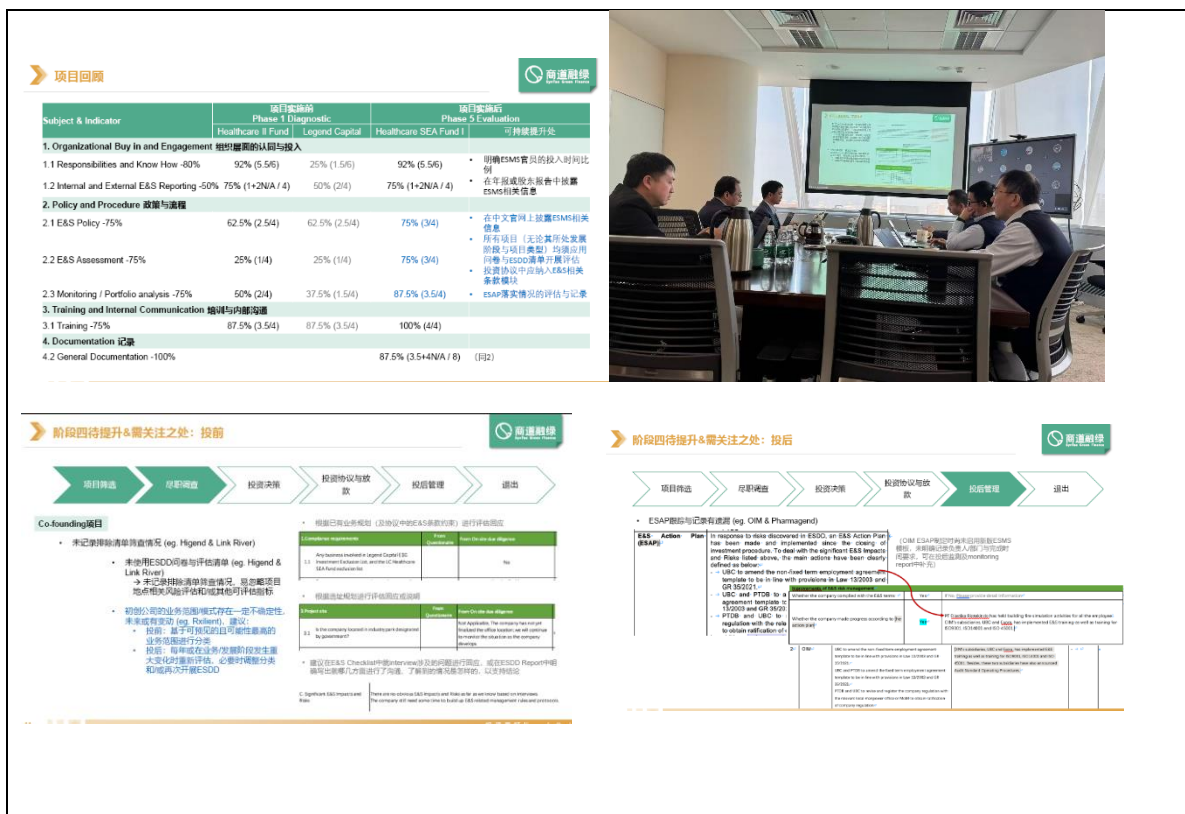
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As the end of 2025, Legend capital had a total of 132 full-time employees, comprising 73 males and 59 females. LC Healthcare Fund II operates in the framework of Legend Capital, had an investment team with 13 professional investment personnel and 2 fund assistants, including 11 males and 4 females.

III. Summary of Current Operations

AIIB fund has been allocated to 15 investee companies in 2020 (Table 4) and no new invested companies in this reporting period. Environmental and social due diligence (ESDD) has been carried out for all the 15 investee companies prior to the investment. The approved investee companies are categorized as Category B (93%) and Category C (7%). There were no reported significant environmental and social events or grievances related to land acquisition, resettlement, indigenous people's issues, labor, or environmental complaints across all investee companies during this reporting period.

Table 4: Summary of the Investee Companies AIIB Funded

S/N	Company Name	Chinese Name	Logo	Business activity	E&S Category	Any significant environmental and social event ¹ during the reporting period? If yes, please specify	Please list any effective grievance or dispute (including court action) regarding land acquisition, resettlement, indigenous, labor, or environmental complaints received during 2025
1	Aidite	爱迪特 (SZ.301580)		Manufacturing of dental device and equipment	B	None	None
2	Birdo	都创		CRO, CDMO and Molecular Blocks	B	None	None
3	Biotheus*	普米斯		Biomedicine and precision treatment	B	None	None

¹ Examples of significant incidents such as: chemical and/or hydrocarbon materials spills; fire, explosion of unplanned releases, including during transportation; ecological damage/destruction; local population impact, complaint or protest; failure of emissions or effluent treatment; legal/administrative notice of violation; penalties, fines or increase in pollution charges; negative media attention; chance cultural finds; labor unrest or disputes; local community concerns, or NGO/CSO concerns. Also include information if there are any additional land acquisitions and resettlement impacts.

4	Bioheart	百心安 (HK.02185)		R&D, and manufacturing of cardiovascular device	B	None	None
5	Biomap	百图生科		Information technology and biotechnology	B	None	None
6	dMed	缔脉		Multi-Therapeutic CRO	C	None	None
7	Innostellar	朗信		Biomedicine and precision therapy	B	None	None
8	Bondent	博恩登特		Dental device manufacturing and service	B	None	None
9	Sciwind	先为达		Development of new biomolecules in metabolic diseases	B	None	None
10	Star Sports	天星		Sports medicine medical device production, research and development, sales	B	None	None
11	Hemo	禾木		Medical device research and development, production and sales	B	None	None
12	Exegensis	嘉因		Biomedicine and precision treatment	B	None	None

13	Lynk Pharma	凌科		Medicine drug research	B	None	None
14	ForQaly	丰凯利		Ventricular assist system product development and manufacture	B	None	None
15	HCSci	瀚辰光翼		R&D, manufacturing, sales and after-sales service of life science and technology equipment, consumables, reagent automation and intelligent solutions	B	None	None

* Biotheus was fully acquired by BioNTech in November 2024, and LC HEALTHCARE FUND II has exited the investment.

In the reporting period, there are 4 company which had new construction project (Table 5), there are no E&S relevant accidents and penalty happened and no significant E&S Impacts and Risks in the reporting period as far as we know based on ESDD.

Table 5: Summary of new construction of portfolio company during the reporting period

S/N	Company name	Project summary	ESDD methods/Date	Is there any E&S relevant accidents or penalty deduction happened?	Is there any significant E&S impact and risks?
1	Birdo	CDMO production base of Birdo Pharmaceutical (Chongqing Plant)	Legend Capital did the ESDD through onsite visit (2025.9.25) and public information search. The ESMS Manager and ESMS coordinator did site visit during the construction period and did ESDD. The result and implementation of corrective action plan of onsite visit was showed in Appendix 2.	There are no E&S relevant accidents and penalty happened in the reporting period base on ESDD	There are no significant E&S Impacts and Risks as far as we know based on ESDD.
2	Aidite	Aidite Dental Industrial Park	Legend Capital did not conduct on site visit during the reporting period, the working group did the ESDD through several methods including public information, document review and verify with government departments instead.	There are no E&S relevant accidents and penalty happened in the reporting period base on ESDD	There are no significant E&S Impacts and Risks as far as we know based on ESDD.

3	Innostellar	Decoration and Renovation Project of Building C31	Legend Capital did not conduct on site visit during the reporting period, the working group did the ESDD through several methods including public information, document review and verify with government departments instead.	There are no E&S relevant accidents and penalty happened in the reporting period base on ESDD	There are no significant E&S Impacts and Risks as far as we know based on ESDD.
4	Star Sports	The Suzhou Smart Factory Project	Legend Capital did not conduct on site visit during the reporting period. In 2025, the factory did not start decoration project. Legend Capital did the ESDD through several methods including public information, document review and verify with government departments instead.	There are no E&S relevant accidents and penalty happened in the reporting period base on ESDD	There are no significant E&S Impacts and Risks as far as we know based on ESDD.

IV. Stakeholder Engagement and Grievance Redress

LC HC Fund II has established an external communication mechanism and a dedicated mailbox (grm@legendcapital.com.cn) to receive complaints, which was published on the website of Legend Capital (<https://www.legendcapitalco.com/c/esms.html>). As of the end of this reporting period, no complaints or grievances have been received. The portfolio information of LC HC Fund II was also disclosed on the website (<https://www.legendcapitalco.com/uploads/files/20250926/9778c5d6ff1dc7c3aaab059730d966b1.pdf>).

The LC website also published AIIB's Project-affected People's Mechanism, <https://www.legendcapitalco.com/c/esms.html>.

V. Gaps and Corrective Measures

No corrective actions were required at fund level during this reporting period.

At investee company level, during the pre-investment environmental and social due diligence, some gaps against the ESMS of LC HC Fund II were identified. Afterwards, LC put forward time-bound improvement action plans to the investee companies. Most of the deficiencies have been corrected in 2021 (see the 1st AESPMR). The remaining areas for improvement are shown in the table below. LC ESMS team will continue to follow up and report to the AIIB in the next annual report.

Table 6: Corrective Actions for Investee Companies

SN	Company Name	Corrective actions required	Timeframe
1	Aidite	None	N/A
2	Birdo	1) provide necessary project information to LC and assist LC conduct ESDD for the proposed Shandong plant once the information is available. Birdo shall take corrective actions if any non-compliance is identified during the ESDD. 2) Incorporate the clauses related to minimum space, supply of water, adequate sewage and garbage disposal system, appropriate protection against heat, cold, damp, noise, fire and disease-carrying animals, adequate sanitary and washing facilities, ventilation, cooking and storage facilities natural and artificial lighting, as relevant basic medical services, freedom of movement and of association and good housekeeping into the civil work contract for the new plants. 3) disclose the EIA of Shandong Plant on the website of Birdo when it is ready; 4) conduct consultation and information disclosure with nearby community and other relevant stakeholders for the Chongqing Plant and Shandong Plant prior to commencement of construction. 5) LC will conduct follow-up semi-annual E&S compliance auditing during the construction of Chongqing Plant and Shandong Plant and report the status in	1) Not applicable. Birdo has cancelled the Shandong plant project. 2) Done. Relevant clauses are incorporated into the civil work contract of Chongqing plant. 3) Not applicable. Birdo has cancelled the Shandong plant project. 4) Done. No community in the surrounding. Project information has been disclosed on the entrance of the construction site of Chongqing plant. 5) Birdo provided annual E&S performance report for the Chongqing plant in 2023. Birdo has cancelled the Shandong plant project. LC conducted an onsite visit to Birdo Chongqing plant in 2025.

		the annual E&S performance monitoring report to AIIB.	
3	Biotheus	1) establish employment GRM including procedures and timeframe to address the complaints and concerns raised by the employees within 90 days from receiving the corrective action plan from LC.	1) Done in 2022
4	Bioheart	None	N/A
5	Biomap	1) establish formal GRM form employees and external stakeholders with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclose the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days from receiving the corrective action plan from LC. 2) set up EHS related department and establish EHS system covering bio-safety, emergency response, chemical management, waste disposal etc. and provide adequate trainings to the staff once the labs are in use.	1) Done in 2022 2) Done in 2022
6	dMed	None	N/A

7	Sciwind	1) The standard operation procedures and regulations regarding to lab management, chemical use management, hazardous waste management and emergency preparedness plan shall be in place prior to operation of the R&D center, which is expected to be in May 2021. 2) SciWind shall develop training plan and organize adequate trainings on EHS to the staff prior to operation, which is expected to be in May 2021. 3) Establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclose the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days receiving the recommended corrective action plan from LC. 4) Establish employee GRM procedures within 90 days from receiving the recommended corrective action plan from LC.	1) Done in 2022. 2) Done in 2022. 3) Partially done. The company had contact information on the official website: https://www.sciwind.com.cn/portal/index/contact/category_id/7.html. The fund will continue to encourage the companies to establish more comprehensive and robust Grievance Redress Mechanisms. 4) Done. Terms on requirements of national labor laws were included in the contract with contactor/supplier.
8	Innostellar	None	N/A
9	Bondent	None	N/A
10	Star Sports	None	N/A
11	Hemo	1) Establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclosed the grievances channel to the public,	1) Partially done, the company had contact information on the official website: http://www.hemochina.com/public/add-103.html. The fund will continue to encourage the companies to establish more comprehensive and robust Grievance Redress Mechanisms.

		including AIIB's Project-affected People's Mechanism within 90 days from receiving the recommended corrective action plan from LC.	
12	Exegenesis	1) Establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclosed the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days receiving the recommended corrective action plan from LC.	1) Partially done, the company had contact information on the official website: https://exegenesisbio.com/contact/ . The fund will continue to encourage the companies to establish more comprehensive and robust Grievance Redress Mechanisms.
13	Lynk Pharma	1) Establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclosed the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days receiving the recommended corrective action plan from LC. 2) Purchase spill containment trays and place the waste liquid container on the spill containment trays to prevent accidental spills within 90 days receiving the recommended corrective action plan from LC. 3) Develop annual EHS training program within 90 days receiving the recommended corrective action plan from LC and provided adequate trainings on EHS, especially on chemicals management and	1) Partially done, the company had contact information on the official website: https://www.lynkpharma.com/ (The website now is under maintenance) . The fund will continue to encourage the companies to establish more comprehensive and robust Grievance Redress Mechanisms. 2) Done. Trays are provided on site. 3) Done. See the training records. 4) Done. The internal GRM was established in the 2025Q1 and published on the public board for all the employees' reference.

		emergency preparedness to its staff every year. 4) establish employment GRM including procedures and timeframe to address the complaints and concerns raised by the employees within 90 days.	
14	ForQaly	1) establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclose the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days receiving the recommended corrective action plan from LC. 2) establish employment GRM including procedures and timeframe to address the complaints and concerns raised by the employees within 90 days.	1) Partially done, the company had contact information on the official website: https://www.forqaly.com/. The fund will continue to encourage the companies to establish more comprehensive and robust Grievance Redress Mechanisms. 2) Done in 2023. The internal GRM has been established in the first quarter of 2023 and the company regularly conducts employee satisfaction surveys as a supplement
15	HCSci	None	1) Done. The EHS system has been formulated for the management of hazardous chemicals and explosive chemicals. A training plan on EHS for 2022 has been drawn up and various EHS related trainings were organized in 2022. One EHS specialist was hired in 2022. 2) Done. The E&S report was provided to LC in April 2026. 3) Done. The employee grievance mechanism has been improved, and the employee grievance channels have been supplemented and updated in the employee handbook. 4) Partially done, the company had contact information on the official website: http://www.hcsci.com/index.html. The fund will continue to encourage the companies to establish more comprehensive and robust Grievance Redress Mechanisms.

Appendix 1 E&S Monitoring Report-Aidite

Company Name	Aidite (Qinhuangdao) Technology Co.		
Main business	Zirconia, glass ceramic, resin and other dental materials, dental digital solutions and services		
Address	No 9. Dushan Road, Economic and Technological Development Zone, Qinhuangdao City, Hebei Province, China		
Website / Homepage	https://www.aidite.com/		
Management staff responsible for environmental and social affairs	Name: Zhang Wei Position: Administrative Manager		
Prepared by	Name: Zhu Menghan Position: Representative for securities affairs Contact information.18833507952		
Reporting Period	Current Period (Jan 1-Dec 31, 2025)		
Number of employees statistics (Parent Company)		Male	Female
	Permanent staff	430	294
	Outsourced employees	6	12
	Management	50	17
New jobs created during the reporting period	110		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and	☐ Yes ☒ No There was no economic retrenchment in the reporting period.		

number of employees	
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	☒ Yes ☐ No
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	The company hiring 7 people with disabilities, helping them realize their self-worth and improve their life quality
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None
Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None

Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None			
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	None			
Please describe the environmental, health and safety training and fire drills organized during this reporting period	Training Topics	Time	Training Target	Number of participants
	Resumption of work and production, as well as annual safety production re-education training	2025/2/21-25	All staff	630
	Emergency response and drill	2025/6/28	All staff	580
Please confirm that the company does not employ child	☒ Yes			

labor and forced labor	☐ No
Hazardous waste	1) Category:HW49 Waste activated carbon. Generation: 0.54 tons 2) Category:HW49 Waste filter cotton. Generation: 0.005 tons 3) Category:HW08 Waste lubricating oil Generation: 0.035 tons Contracted hazardous waste disposal company: Qinhuangdao Xushankou Hazardous Waste Treatment Co. Photos of the company's temporary storage site:  4) Category: HW06 Waste ethanol. Generation: 1.094 tons 5) Category: HW09 Waste cutting fluid Generation: 1.4 tons 6) Category: HW13 Waste rubber and packaging materials Generation: 0.053 tons Contracted hazardous waste disposal company: Qinhuangdao Xushankou Hazardous Waste Treatment Co. Photos of the company's temporary storage site:

	
Implementation of E&S corrective action plan	No corrective action required.

If there are projects under construction during the reporting period, please fill in the following table.

1. Please briefly describe the construction content, start time and expected completion time of the project under construction • Project name: Aidite Dental Industrial Park • Project Location: Qinhuangdao Economic and Technological Development zone • Land acquisition: Aidite obtained the land use right through opening auction in Mar 2024. The land acquisition was completed in March 2024. No legacy issues or court cases related to the land. The land auction information was disclosed on the website of Department of Natural Resources of Hebei Province. (https://zrzy.hebei.gov.cn/tdsc/gdxmDetails.do?id=zpght81600442-e8a1-46ff-9aef-e522cb051876) • Construction scale: land area of 71,831.12m², about 107.7mu. • Construction contents: ■ Phase I construction includes zirconia workshops, glass-ceramic workshops, warehouses, etc. 20 new zirconia production lines will be built, with an annual output of 12 million zirconia blocks and 4 million bottles of staining solution. 15 new glass-ceramic production lines will be built, with an annual output of 6.1 million glass-ceramic blocks, 1.5 million temporary crown and bridge materials, 120,000 pieces of casting wax, 160,000 pieces of sintering paste, and 40 tons of 3D printing dental model materials. Annual output of invisible orthodontic appliances: 300,000 sets. ■ Phase II construction includes 10 new dental implant production lines will be built in the conversion workshop, with an annual output of 8 million dental implants and 30,000 sets of special dental diagnosis and treatment equipment. ■ Phase III construction includes Inspection Building will be used for office and digital platform purposes, with 124 sets of office equipment and 1 set of digital platform intelligent system software to be purchased. • Commencement date: August 2nd.2025 • Expected completion time: ■ Phase I is expected to be completed on August 30, 2026; ■ Phase II is expected to be completed on December 30, 2026; ■ Phase III has not yet commenced.
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2. Has the project under construction obtained the relevant environmental social permit? If yes, please indicate the approval time and approval body (e.g. environmental impact assessment)

☒ Yes ☐ No

The environmental impact assessment was approved by the Administrative Approval Bureau of Qinhuangdao Economic and Technological Development Zone on May 16th, 2024.

Approval Document No : 秦开审批环表[2024]第 31 号

审批意见：

秦开审批环表[2024]第 31 号

爱迪特(秦皇岛)科技股份有限公司爱迪特牙科产业园-口腔 CAD/CAM 材料产业化建设项目(重大变动)项目建设地点由御河道以北,梅山湖路以东,梁子湖路以西,变更为五龙河道南,大通湖路以东,宁海大道以北,天池路以西,项目总投资 23531.63 万元,环保投资 30 万元。项目新建氧化锆生产线 7 条,年产氧化锆 196 万块;玻璃陶瓷自动生产线 5 条,年产玻璃陶瓷 680 万块;购置生产设备及仪器 258 台套,同意建设。

1、项目生产废水循环使用不外排,生活污水经厂区化粪池处理后排入市政污水管网,排入秦皇岛经济技术开发区龙海道污水处理厂进行处理,废水中污染物排放浓度必须满足《污水综合排放标准》(GB 8978-1996)表 4 三级标准限值要求及龙海道污水处理厂收水水质要求。

2、项目氧化锆生产线筛料、混料、称重、入模产生的颗粒物经吸风口负压收集,干压成型、坯体修复产生的颗粒物经集气罩收集后,共用 1 台布袋除尘器处理后于 1 根 15m 排气筒(DA001)排放,颗粒物排放浓度必须满足《陶瓷工业大气污染物排放标准》(DB13/5214-2020)表 1“原料破碎、筛分、成型及其它通风生产设备”排放限值要求;氧化锆生产线预烧结产生的有机废气、氧化锆生产线及玻璃陶瓷生产线印刷产生的有机废气,分别经集气罩收集后共用 1 套二级活性炭吸附装置处理,于 1 根 15m 排气筒(DA002)排放,非甲烷总烃排放浓度必须满足《工业企业挥发性有机物排放控制标准》(DB13/2322-2016)表 1“其他企业”标准限值要求,无组织排放的颗粒物排放浓度必须满足《秦皇岛市人民政府办公室关于执行钢铁等行业大气污染物排放特别要求的通知》([2021]-10)限值要求;非甲烷总烃无组织排放浓度必须满足《工业企业挥发性有机

3. Number of construction workers during peak period:90

4. Is there a construction camp on site, if so, please provide photos of the construction camp?

☒ Yes ☐ No Not Applicable



5. Please confirm that EHS requirements are incorporated into engineering, procurement and construction contracts.

☒ Yes ☐ No Not Applicable

6. Please confirm whether the contractor has developed a construction site environmental management plan

☒ Yes ☐ No Not Applicable

7. Whether to provide personal protective equipment to workers (please attach photos of workers on site construction)

☒ Yes ☐ No Not Applicable



8. Whether EHS-related training is provided to workers on a regular basis (please attach training records)

☒ Yes ☐ No Not Applicable



9. Are real-time monitoring facilities for noise, PM10 and PM2.5 installed and connected to the local government's control center?

☒ Yes ☐ No Not Applicable

10. Whether the noise, PM10 and PM2.5 monitoring results have exceeded the standard. If yes, what corrective measures have been taken

☐ Yes ☒ No Not Applicable

11. Do contractor workers have access to the company's workplace-related grievance mechanisms? If not, how does the company ensure that contractor workers have channel to raise grievances related to labor and working and employment conditions?

☒ Yes ☐ No Not Applicable

The rights protection notice board at the construction site entrance publicly displays all complaint contact information, and the real-name system allows online submission of requests.

Appendix 2 E&S Monitoring Report-Birdo

Company Name	Birdo (Shanghai) Pharmaceutical Technology Co.		
Main business	CRO, CDMO and Molecular Blocks		
Address	Room 102-1, Building 1, No.1199 Indigo Road, Pudong New Area, Shanghai		
Website / Homepage	https://www.birdotech.com/zh		
Management staff responsible for environmental and social affairs	Name: Wang Shufa Position: Secretary of the Board of Directors		
Prepared by	Name: Wang Shufa Position: Secretary of the Board of Directors Contact: shufa.wang@birdotech.com		
Reporting Period	1 January 2025-31 December 2025		
Number of employees		Male	Female
	Permanent staff	326	135
	Outsourced employees	0	0
	Management	90	26
New jobs created during the reporting period	133		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees	☐ Yes ☒ No		

Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	☒ Yes ☐ No
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	None
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None
Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None
Please describe any public complaints or	None

grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved				
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	None			
Please describe the environmental, health and safety training and fire drills organized during this reporting period	Training Theme	Time	Who to train	Number of people
	OHS system training, Security Training on Prevention of Theft and Robbery for Explosives and Highly Toxic Chemicals	2025-01	R&D team leader and experimenter	26
	Requirements for the Purchase, Inbound and Outbound Storage of Controlled Chemicals, Monthly Explanation of Violations, and Equipment Repair and Maintenance Procedures	2025-02	R&d team leader, security officer, and supply chain, management staff	22
	Environmental emergency drills,	2025-03	Newly graduated R&D personnel	21

	monthly violation briefings, equipment operation procedures.			
	Training on Solid Waste Law and monthly violation briefings, and troubleshooting of potential equipment failures.	2025-04	R&D team	21
	Accident case sharing and Requirements for Controlled Items	2025-05	R&D team	21
	Safety Month publicity and training, monthly violation briefings, equipment operation procedures	2025-06	R&D, analysis, and supply chain teams	22
	Safety Management of Hazardous Chemicals, Monthly Violation Briefings, Common Knowledge of Equipment Maintenance.	2025-07	R&D, analysis, and supply chain teams	24
	Requirements for the Collection and Use of Controlled Items, Prevention of Loss and Theft, Various Violations and Hidden Dangers	2025-08	R&D, analysis, and supply chain teams	24
	Environmental protection knowledge training and requirements for controlled items.	2025-09	R&D, analysis, and supply chain teams	20
	Emergency drills for the leakage of hazardous waste and hazardous chemicals, as well as the	2025-10	R&D, analysis, and supply chain teams	37

	subsequent targeted treatment.			
	Fire evacuation drill and practical use of fire extinguishers	2025-11	All staff	220
	Standardized training on laboratory safety and hygiene	2025-12	Staff from all departments	21
Please confirm that the company does not employ child labor and forced labor	☒ Yes ☐ No			
Hazardous waste	<u>Birdo (Shanghai) Pharmaceutical Technology Co., Ltd</u> Category: Laboratory waste liquid (900-047-49) , Laboratory waste (900-047-49) , Waste chromatography column (900-041-49) ,Waste chemical packaging (900-041-49) , waste mineral oil (900-249-08) and waste activated carbon (900-039-49) Yield: 423.1101 t Contracted company for hazardous waste disposal: Shanghai Tianhan Environmental Resources Co., Ltd. Photos of the company's temporary storage on site: 			



Xi'an Birdo Medical Technology Co, Ltd

Category: Liquid waste (900-047-49), solid waste (900-047-49), waste activated carbon (900-039-49), Sewage treatment sludge (772-006-49), Waste chemical reagents (900-047-49), Waste lubricating oil (900-249-08), Expired chemical reagents (900-999-49)

Yield: 142.13577 t

Contracted company for hazardous waste disposal: Shaanxi Xintiandi Solid Waste Comprehensive Disposal Co., Ltd.

Shaanxi Shuifa Environment Co., Ltd.

Shaanxi Hong'en Plasma Technology Co., Ltd.

Photos of the company's temporary storage on site:



Birdo (Chongqing) Pharmaceutical Technology Co., Ltd

Category: R&D waste liquid (900-047-49), Laboratory contamination (900-047-49) ,waste R&D products (900-047-49) , Waste reagent bottles (900-047-49) and Waste activated carbon fiber (900-039-49)

Yield: 20.53t

Contracted company for hazardous waste disposal:
Chongqing Chuanglv Environmental Protection Co., Ltd.

Photos of the company's temporary storage on site:

Implementation of E&S corrective action plan: 1) Establish dedicated GRM for the construction of new plants before the commencement of construction and ensure the workers of contractor also have access to the GRM. 2) Disclose the EIA of Chongqing plant on the website of Birdo by 30 September 2021. 3) provide necessary project information to LC and assist LC conduct ESDD for the proposed Shandong plant once the information is available. Birdo shall take corrective actions if any non-compliance	Birdo had taken corrective action according to the action plan 1) Partially done. The company had contact information on the official website: https://www.birdotech.com/zh/contact.html. The fund will continue to encourage the companies to establish more comprehensive and robust Grievance Redress Mechanisms. 2) Done. The EIA was approved by Chongqing Municipal Ecology and Environment Bureau in July 2021. The full EIA was disclosed on: http://sthjj.cq.gov.cn. 3) Not applicable. Birdo cancelled the Shandong plant project in 2022. 4) Done. EHS clauses have been incorporated into the civil work contract of Chongqing plant. 5) Not applicable. Birdo cancelled the Shandong plant project in 2022. 6) Done. For Chongqing plant: there is no residents or other plants in the surrounding. Two rounds of information disclosure and public consultation have been done in the EIA process. Project information was disclosed on Chongqing Evening News (19 Jan 2021 and 21 Jan 2021), website of Tongnan District Government (15 Jan 2021 and 9 July 2021) before submission to the Chongqing Ecology and Environment Bureau. The full EIA report was disclosed on the website of Chongqing Ecology

is identified during the ESDD. 4) Incorporate the clauses related to minimum space, supply of water, adequate sewage and garbage disposal system, appropriate protection against heat, cold, damp, noise, fire and disease-carrying animals, adequate sanitary and washing facilities, ventilation, cooking and storage facilities natural and artificial lighting, as relevant basic medical services, freedom of movement and of association and good housekeeping into the civil work contract for the new plants. 5) disclose the EIA of Shandong Plant on the website of Birdo when it is ready; 6) conduct consultation and information disclosure with nearby community and other relevant stakeholders for the Chongqing Plant and Shandong Plant prior to commencement of construction. 7) continue to comply with the regulatory requirements and submit annual E&S report in the agreed format to LC. LC will conduct follow-up semi-annual E&S	and Environment Bureau (21 July 2021). No comments were received during the public notice period. Project information was disclosed on the entrance of the construction site. 7) Done 8) Birdo provided annual E&S performance report for the Chongqing plant in 2024. Birdo has cancelled the Shandong plant project. LC conducted an onsite visit to Birdo Chongqing plant in 2025.
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compliance auditing during the construction of Chongqing Plant and Shandong Plant and report the status in the annual E&S performance monitoring report to AIIB.	
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If there are projects under construction during the reporting period, please fill in the following table.

1. Please briefly describe the construction content, start time and expected completion time of the project under construction.

Project Name: CDMO production base of Birdo Pharmaceutical (Chongqing Plant);

Project Location: Chongqing Tongnan High-tech Zone Environmental Protection and Technology Industrial Park

Tongnan Industrial Park: The industrial park, established in 2009, has a planning area of 18 km². No issues regarding critical natural habitats and cultural heritage. The total population of Tongnan District is 0.64 million. Ethnic minority accounts for 0.1% of the total population, including Zhuang, Miao, Hui, Buyi etc. However, No ethnic minority in the project area.

Land acquisition: Birdo obtained the land use right through opening auction in Nov 2020 at price of CNY90,000/mu. The land acquisition was completed in March 2020. No legacy issues or court cases related to the land. The land auction information was disclosed on the website of Chongqing Municipal Government (http://www.cq.gov.cn/zwgk/wlzcwj/zbtb/tdjkyq/jygg2/202010/t20201013_7972715.html)

Construction scale: land area of 66675.34 m², about 100 mu.

Construction contents: 3 Class A workshops, 1 hydrogenation workshop, 1 comprehensive building, 1 power workshop, 1 Class A warehouse, 1 Class A 3, 4 warehouse, 1 hazardous waste warehouse (A), 1 Class C warehouse, Class A tank area and supporting loading and unloading area, sewage treatment station, fire pool, accident pool, security guard.

Commencement date: January 2022.

Completion date: October 2025

潼南区（区/县）联验〔2025〕22号

重庆市房屋建筑和市政基础设施工程 竣工联合验收意见书

单位（重庆）药业有限公司：

你单位的 都创医药 CDMO 生产基地项目，于 2025 年 10 月 29 日，经过竣工联合验收，验收范围为：基础、主体、水电及消防安装、通风与空调，验收通过。



附件：

工程名称	都创医药 CDMO 生产基地项目		
工程地址	潼南高新区环保科技产业园 T8-16/02 地块		
工程规模 (m ²)	31441.03	工程造价 (万元)	9300.0
施工许可证号	50022320220615010	投资项目统一代码	2020-500152-27-03-141210
建设单位	都创(重庆)药业有限公司		
勘察单位	重庆中科勘测设计有限公司		
设计单位	重庆化工设计研究院有限公司		
施工单位	重庆工业设备安装集团有限公司		
监理单位	重庆化工设计研究院有限公司		
是否分期验收	是 ☐ 否 ☐ 最后一期 ☒		
竣工联合验收情况			
验收事项	办理情况		
竣工规划核实	通过 ☒ / 不涉及 ☐		
消防验收	通过 ☒ / 不涉及 ☐		
消防验收备案	抽中 ☐ / 未抽中 ☒ / 不涉及 ☐		
人防验收 (含备案)	通过 ☒ / 不涉及 ☐		
档案专项验收	通过 ☒ / 承诺制 ☐ / 不涉及 ☐		

备注：1. 实行消防验收备案的项目，抽查不合格的，建设单位应当停止使用，并及时整改后申请复验。
2. 本意见书签发后即为竣工联合验收合格。
3. 本意见书签发后不得随意更改，如有遗失或损毁，应及时向出具机构申请补办。

2. Has the project under construction obtained the relevant environmental social permit? If yes, please indicate the approval time and approval body (e.g. environmental impact assessment)

☒ Yes ☐ No

The land property certificate was obtained in 26 November 2020. The environmental impact assessment was approved by the Chongqing Ecological Environment Bureau on 6 September 2021. Approval Document No. Yu (Shi) Huan Zhu [2021] 031.

After obtaining the EIA approval, the construction unit commenced the project. The civil works for the comprehensive building, Class A Workshop I, Class A Workshop II (with no equipment installation in Phase I), and part of the warehouse have been completed. During the actual construction process, due to the impact of the recent overall economic situation, the company, taking into account factors such as funding and market orders, plans to implement the construction of the project in phases. Since the phased construction and adjustments to environmental protection measures resulted in significant changes to the project's environmental protection facilities. As a result, the company resubmitted the Environmental Impact Assessment for approval and obtained a new EIA approval on May 12, 2025 by the Chongqing Ecological Environment Bureau. Approval Document No. Yu (Shi) Huan Zhun [2025] 023.

3. Number of construction workers during peak period: 200.

4. Is there a construction camp on site, if so, please provide photos of the construction camp.

☒ Yes ☐ No



5. Please confirm that EHS requirements are incorporated into engineering, procurement, and construction contracts.

☒ Yes ☐ No

6. Please confirm whether the contractor has developed a construction site environmental management plan.

☒ Yes ☐ No

7. Whether to provide personal protective equipment to workers (please attach photos of workers on site construction)

☒ Yes ☐ No



8. Whether EHS-related training is provided to workers on a regular basis (please attach training records)

☒ Yes ☐ No

SN	Topic	Frequency	Participants
1	New Workers Safety Education and training before work	All workers must complete the training before work.	26 new workers entered the site, completed the training
2	Firefighting training	Twice a year (2 times)	65/times
3	Safety production training	Once a month (9 times)	60/times
4	Identifying major accident hazards	Twice a year (s times)	45/times

Identifying major accident hazards:2025/11/20



Safety production training 2025/8/21



Firefighting training 2026/1/18



9. Are real-time monitoring facilities for noise, PM₁₀ and PM_{2.5} installed and connected to the local government's control center?

☒ Yes ☐ No

10. Whether the noise, PM₁₀ and PM_{2.5} monitoring results have exceeded the standard. If so, what corrective measures have been taken

☐ Yes ☒ No

11. Do contractor workers have access to the company's workplace-related grievance mechanisms? If not, how does the company ensure that contractor workers have avenues to raise grievances related to labor and working and employment conditions?

☒ Yes ☐ No

There are "Right protection information" published on the worker's work site. Display basic information of construction, regulatory departments, and labor management personnel clearly. indicate labor laws and regulations, regulatory department complaints channels, labor dispute arbitration, and labor security complaint hotline, etc.

12. The result and implementation of corrective action plan of onsite visit
 Background: On Sep 25th 2025, Legend Capital ESG wording group, E&S specialist of AIIB and E&S consultants from SynTao Green Finance conducted a E&S DD onsite visit of CDMO production base of Birdo Pharmaceutical at Tongnan, Chongqing. Through onsite visit, interviews and document reviews, a comprehensive phased assessment was carried out on the management systems, performance and risks of CDMO production base of Birdo Pharmaceutical in terms of Environment(E) Social(S) and Governance(G).

E&S Issues	Corrective Action / Solution
Hazardous waste stored in the open air.	Immediately relocate hazardous waste in the open air to the hazardous waste warehouse.
Some chemicals are stored without secondary containment.	Procure and install appropriate secondary containment (leak-proof tray) for all chemical storage areas to contain leaks/spills
Emergency eyewash stations are not subject to routine daily inspections, and the emergency shower drains lack collection containers.	Implement a formal daily inspection checklist for eyewash/shower stations, including flow tests, water quality checks, and functional verification. Set up the eyewash station and drainage device in accordance with the national standards
The emergency evacuation route diagrams are not compliant with national standards.	Review and revise all evacuation route diagrams to align with current national standards (including clear signage, exit routes, assembly points, and hazard zones). Re-post updated diagrams in all required locations and conduct evacuation drills.
The rainwater drainage system outside the hazardous waste warehouse contains sludge, and the accumulated wastewater shows traces of oil.	Clean the rainwater drainage system, remove sludge, and test wastewater to identify contamination sources No chemical leakage occurred. Implement regular monitoring and cleaning schedules for the drainage system.
Deficiencies are identified in the salary structure	Update the payroll system and overtime policies to ensure compliance with national laws and regulations.

Appendix 3 E&S Monitoring Report-Biotheus

Since Biotheus was fully acquired by BioNTech in November 2024, and LC HEALTHCARE FUND II has exited the investment.

The Company did not provide its 2025 Environmental and Social Monitoring Report to the LC HEALTHCARE FUND II.

Appendix 4 E&S Monitoring Report-Bioheart

Company Name	Shanghai Bio-heart Biological Technology Co., Ltd		
Main business	Research and development of medical devices		
Address	Room 302, Building 9 North, No. 590, Ruiqing Road, Pudong New Area, Shanghai, China		
Website / Homepage	http://www.bio-heart.com/		
Management staff responsible for environmental and social affairs	Name: Wang Yunqing Position: CFO		
Prepared by	Name: Wang Yunqing Position: CFO Contact: 18616021937		
Reporting Period	1 Jan to 31 Dec, 2025		
Number of employees statistics		Male	Female
	Permanent staff	22	43
	Outsourced employees	0	0
	Management	7	1
New jobs created during the reporting period	+3		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees	☐ Yes ☒ No		
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and	☒ Yes ☐ No		

labor-related laws and regulations.				
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	None			
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None			
Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None			
Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None			
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	None			
Please describe the environmental, health and safety	Training Topics	Time	Training Target	Number of participants

training and fire drills organized during this reporting period	Fire Safety training	2025.5.9	Frontline employee	28
	Escape drill	2025.3.11	Frontline employee	12
Please confirm that the company does not employ child labor and forced labor	☒ Yes ☐ No			
Hazardous waste	Category: 900-047-49 laboratory waste liquid, 0.225 tons/year 900-041-49 Lab waste glass, 0.05 tons/year Contracted company for hazardous waste disposal: Shanghai Tianhan Environmental Resources Co.			
Implementation of E&S corrective action plan.	No corrective action is required based on the ESDD results.			

Appendix 5 E&S Monitoring Report-Biomap

Company Name	Biomap (Beijing) Intelligent Technology Co.		
Main business	Biological computing platform		
Address	Room1-7, 5th floor, Building 1, NO.76 Zhichun Road , Haidian District, Beijing		
Website / Homepage	www.biomap.com		
Management staff responsible for environmental and social affairs	Name: Sun Xiaoyue Position: Vice President, Operations Manager, General counsel		
Prepared by	Name: Wang Xuesi Position: IR Manager Contact: xuesi@biomap.com		
Reporting Period	1 January-31 December 2025		
Number of employees statistics		Male	Female
	Permanent Staff	104	40
	Outsourced employees	0	0
	Management	3	3
New jobs created during the reporting period	66		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees	No		
Please Confirm that the company fully	☒ Yes ☐ No		

complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	None
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None
Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None
Please describe any public complaints or grievances received during the reporting period and indicate	None

how they were resolved and whether any remain unresolved				
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	None			
Please describe the environmental, health and safety training and fire drills organized during this reporting period	Training Topics	Date	Target	Number of participants
	Laboratory safety management regulations	2025/1/17	Lab staff	5
	Cell room operation standards and biosafety	2025/3/20	Lab staff	5
	Biosafety training	2025/5/22	Lab staff	4
	Use and maintenance of safety equipment, facilities, and personal protective equipment	2025/7/23	Related users	6

	Regulations of Hazardous Materials in Laboratories	2025/9/18	Lab staff	4
	General Requirements for Laboratory biosafety	2025/10/15	Lab staff	5
	Firefighting and drills	2025/11/14	Lab staff	7
	Laboratory biosafety position training	2025/11/20	Laboratory Director	1
Please confirm that the company does not employ child labor and forced labor	☒ Yes ☐ No			
Hazardous waste	Category, production volume, contracted third party for hazardous waste disposal: HW49 General laboratory waste, 0.189 tons/year Contracted company for hazardous waste disposal: Zhangjiagang Huarui Hazardous Waste Treatment Center Co., Ltd HW49 Waste activated carbon, 3 tons/year Contracted company for hazardous waste disposal: Changzhou Bizhiyuan Recycling Resources Utilization Co., LTD Temporary storage site for medical waste:			

	 Temporary storage site for hazardous waste: 
Environmental social corrective action plan implementation: 1) establish formal GRM form employees and external stakeholders with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclose the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days from receiving the corrective action plan from LC. 2) hire a licensed third party to handle the hazardous waste if the lab generates any waste in the list of "National Hazardous Waste List (2021 version, updated from time to time); 3) set up EHS related department and establish EHS system covering bio-safety,	1) Partially done, the company had contact information on the official website: https://www.biomap.com/cn/. The fund will continue to encourage the companies to establish more comprehensive and robust Grievance Redress Mechanisms. 2) Done. Zhangjiagang Huarui Hazardous Waste Treatment Center Co., Ltd and Changzhou Bizhiyuan Recycling Resources Utilization Co., LTD were hired to handle the hazardous waste. 3) Done. Various EHS trainings regarding to biosafety, emergency response, safe operations were organized in 2025. 4) Done. The E&S report was provided to LC in March 2025.

emergency response, chemical management, waste disposal etc. and provide adequate trainings to the staff once the labs are in use. 4) Continue to comply with the regulatory requirements and submit annual E&S report in the agreed format to LC in January each calendar year.	
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Appendix 6 E&S Monitoring Report-dMed

(CAIDYA)

Company Name	dMed Biopharmaceutical Technology (Shanghai) Co.		
Main business	Clinical Phase I-V Clinical R&D Outsourcing Services (CRO)		
Address	Room 301-305, No.298 Xiangke Road, Pudong New Area, Shanghai		
Website / Homepage	https://www.caidya.cn/		
Management staff responsible for environmental and social affairs	Name: Qian Yingshi Position: Vice President, Global Head of Human Resources and Operations		
Prepared by	Name: Yang Miaomiao Position: Human Resources and Operations Contact: 15821446566		
Reporting Period	1 Jan to 31 Dec, 2025		
Number of employees		Male	Female
	Permanent Staff	81	297
	Outsourced employees	0	0
	Management	5	6
New jobs created during the reporting period	25		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees	☐ Yes ☒ No -34 Reasons for cost-cutting and layoffs: To enhance operational efficiency and ensure the		

	company's healthy and sustainable profits to better cope with market changes and guarantee the company's long-term sustainable development, based on the business situation, operational efficiency and future development needs, identify the positions that need to be optimized and arrange for the corresponding affected employees to leave by agreement. Compliance with the layoff process: The company's layoff process complies with relevant labor laws. For the affected employees, the company has provided a reasonable compensation plan in compliance with laws and regulations. At the same time, considering the needs of the employees, the company has given a certain degree of flexibility in the time of departure to help the employees make a smooth transition. No related labor arbitration incidents occurred afterward.
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	☒ Yes ☐ No
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	None
Whether major environmental and safety accidents/incidents have occurred in this reporting	None

period, if so, please briefly explain the causes of the accidents and the treatment plan				
Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None			
Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None			
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	None			
Please describe the environmental, health and safety training and fire drills organized during this reporting period	Topic	Date	Target	Participants
	Fire safety training online	2025.12.23	All Staff	378
	Shanghai office Fire Drill	2025.11.25	Shanghai staff	183

Please confirm that the company does not employ child labor and forced labor	☒ Yes ☐ No
Hazardous waste	Not applicable
Implementation of E&S corrective action plan: As AIIB invested project, dMed is required to establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclose the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days from receiving the corrective action plan from LC.	1) Partially done, the company had contact information on the official website: https://www.caidya.com/about-us/esg/. The fund will continue to encourage the companies to establish more comprehensive and robust Grievance Redress Mechanisms.

Appendix 7 E&S Monitoring Report-Sciwind

Company Name	Hangzhou Sciwind Biotechnology Co., Ltd.		
Main business	Development of new biomolecules in metabolic diseases		
Address	9th Floor, Building 2, No. 400 Fucheng Road,, Qiantang District, Hangzhou, China		
Website / Homepage	http://www.sciwind.com.cn/		
Management staff responsible for environmental and social affairs	Name: Pan Hai Position: General Manager		
Prepared by	Name: Li Qiushuo Position: EHS Contact: 15028633493		
Reporting Period	1 Jan-31 Dec, 2025		
Number of employees		Male	Female
	Permanent Staff	51	79
	Outsourced employees	0	0
	Management	18	15
New jobs created during the reporting period	20		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and	No		

number of employees	
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	☒ Yes ☐ No
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	None
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None
Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and	None

whether any remain unresolved					
Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None				
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	None				
Please describe the environmental, health and safety training and fire drills organized during this reporting period	Topic	Date	Target	Participant	
	EHS knowledge training	2025/9/12	All staff	148	
	Laboratory Standard Changing Room	2025/9/28	Lab Staff	68	

	Operating Procedures			
	Safety Responsibility System	2025/11/12	All staff	148
	Waste Disposal Management Regulations	2025/7/22	Related staff	22
	Highly toxic and easily producible drugs management	2025/7/22	Related staff	42
	Fire drill	2025/6/11	Beijing staff	78
Please confirm that the company does not employ child labor and forced labor	☒ Yes ☐ No			
Hazardous waste	Category: HW49 Production volume:9.15 tons/year Disposal unit name: Beijing Jinyu Mangrove Environmental Protection Technology Co., Ltd and Beijing Xinxing Zhongcheng Environmental Technology Co., Ltd Photos of the company's temporary storage site:			

	
Implementation of E&S corrective action plan: 1) The standard operation procedures and regulations regarding to lab management, chemical use management, hazardous waste management and emergency preparedness plan shall be in place prior to operation of the R&D center, which is expected to be in May 2021. 2) The liquid waste and solid waste from labs are identified as hazardous waste. SciWind shall engage licensed third party to handle the hazardous waste prior to operation.	1) Done. The standard operation system was developed in Q1 of 2022, including management, disposal and emergency plans for laboratory hazardous chemicals, solid waste and liquid waste. 2) Done. BBMG Environment. Co., Ltd and Beijing Xinxing Zhongcheng Environmental Technology Co., Ltd were engaged to handle the hazardous waste. 3) Done. An EHS Specialist has been assigned internally in Q1 of 2022. 4) Partially done, the company had contact information on the official website: https://www.sciwind.com.cn/portal/index/contact/category_id/7.html. The fund will continue to encourage the companies to establish more comprehensive and robust Grievance Redress Mechanisms.

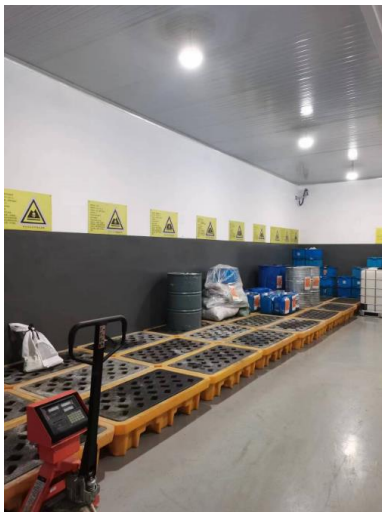
3) SciWind shall develop training plan and organize adequate trainings on EHS to the staff prior to operation, which is expected to be in May 2021. 4) Establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclose the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days receiving the recommended corrective action plan from LC. 5) Establish employee GRM procedures within 90 days from receiving the recommended corrective action plan from LC. 6) Ensure the contractors and/or suppliers comply with the national labor laws through complying the terms into the services contract with contractors/suppliers by March 2021.	5) Done. Terms on requirements of national labor laws were included in the contract with contractor/supplier.
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Appendix 8 E&S Monitoring Report-Bondent

Company Name	Shanghai Boendent Technology Co.
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Main business	R&D, production and sales of oral medical devices		
Address	Building 1, Xianggu, Lane 599, Shuangdan Road, Jiading District, Shanghai		
Website / Homepage	www.bondent.com		
Management staff responsible for environmental and social affairs	Name: Li Yanlong Position: Board Secretary/Vice President		
Prepared by	Name: Li Chaoxiang Position: Investment and Financing Manager Contact: 18621119113		
Reporting Period	1 Jan-31 Dec, 2025		
Number of employees		Male	Female
	Permanent Staff	389	307
	Outsourced employees	0	0
	Management	6	3
New jobs created during the reporting period	None		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees	No		
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	☒ Yes ☐ No		

Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	None
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None
Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None
Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	None

Please describe the environmental, health and safety training and fire drills organized during this reporting period	Topic	Date	Target	Participant
	Specialized training on mechanical injuries	2025.6.13	Emergency rescue team, employees in the workshop	25
	Emergency drill	2025.3.21	employees in the workshop	71
	Fire drill	2025.9.26	employees in the workshop	75
Please confirm that the company does not employ child labor and forced labor	☒ Yes ☐ No			
Hazardous waste	Category: solid waste Amount: 45.98 tons/year Name of disposal unit: Jiangsu Qinyue Environmental Technology Co., Ltd. Photos of the company's temporary storage site: 			

Implementation of E&S corrective action plan:	None
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Appendix 9 E&S Monitoring Report-Innostellar

Company Name	Innostellar Biotechnology Co.		
Main business	Gene therapy innovative drug development, production and sales		
Address	4 th Floor, Building 6, No.99 Haike Road, Pudong New Area, Shanghai		
Website / Homepage	https://www.innostellarbio.cn/		
Management staff responsible for environmental and social affairs	Name: Hu Yongsheng Position: Deputy Manager of Engineering Department		
Prepared by	Name: Yuan Weijun Position: President assistant, Deputy Director of Financing Contact: 18600104164		
Reporting Period	Jan 1-Dec 31, 2025		
Number of employees		Male	Female
	Permanent staff	54	70
	Outsourced staff	0	2
	Management	16	18
New jobs created during the reporting period	17		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees	None		

Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	☒ Yes ☐ No
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	In 2025, Innostellar supported the "RP Light Love Alliance" National Patient Conference for visually impaired patients and provided corresponding medical support to them. On November 5, 2025, the emergency response plan invited the residents around the enterprise (Wu Fei) and the representative of the mutual aid enterprise Hu Haiyang (Suzhou Synbio Technologies) to participate in the review together.
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None
Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None
Please describe any public complaints or grievances received	None

during the reporting period and indicate how they were resolved and whether any remain unresolved					
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	None				
Please describe the environmental, health and safety training and fire drills organized during this reporting period	Training Topics	Time	Training Target	No. of participants	
	Fire drill	2025/11/14	All staff	72	
	Laboratory emergency training	2025/8/13	Laboratory technician	18	
Please confirm that the company does not employ child labor and forced labor	☒ Yes ☐ No				
Hazardous waste	Category: Solid waste and liquid waste Yield: 76.4554 tons Name of disposal unit: Zhangjiagang Huarui Hazardous Waste Treatment Center Co., LTD Photos of temporary storage site:				

	
Implementation of E&S corrective action plan: 1) The standard operation procedures and regulations regarding to lab management, chemical use management, hazardous waste management and emergency preparedness plan shall be in place prior to operation of the Suzhou R&D center, which is expected to be end of March 2021. 2) The liquid waste and solid waste from labs are identified as hazardous waste. Innostellar shall engage licensed third party to handle the hazardous waste prior to operation, which is expected to be end of March 2021. 3) Innostellar shall develop training plan and organize adequate trainings on EHS and bio-safety to the staff prior to operation, which is expected to be end of March 2021. 4) Properly dispose the test animals	1. Done. Management procedures and regulations on laboratory management have been established in June 2021; (relevant regulations was provided to LC). 2. Done. In January 2021, a qualified third party (Shanghai Tianhan Environmental Resources Co., Ltd.) has been hired to deal with hazardous waste; (a contract was provided to LC) 3. Done. EHS-related training has been conducted; (training records was provided to LC) 4. Done. The company's animals room was rental from PharmaLegacy, and the relevant biological waste was also entrusted to the company for processing. The pre clinical animal testing contract has been signed with Joynn Biologics, and Joynn Biologics has been entrusted to deal with the biological medical waste. Innostellar will inspect the compliance with contract clauses.

from pre-clinical trials as bio medical waste.

5) Establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclose the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days.



5. Done. GRM was established, and the contact information has been disclosed on the company's official website, WeChat and other channels to resolve any complaints raised by those affected if any.

If there are projects under construction during the reporting period, please fill in the following table.

1. Please briefly describe the construction content, start time and expected completion time of the project under construction. • Decoration and Renovation Project of Building C31 in Langxin Qisheng (Suzhou) Biopharmaceutical Co., Ltd. Biological Nanotechnology Park • Commencement date: October 18, 2024 • Completion date: July, 2025	
2. Has the project under construction obtained the relevant environmental social permit? If yes, please indicate the approval time and approval body (e.g. environmental impact assessment) ☒ Yes ☐ No The Main building's EIA was approved by the Administrative Approval Bureau of Suzhou Industrial Park in Oct 13th 2020, and get environmental acceptance in Jan 26th 2022. The company started decoration and renovation project of building C31 on Oct 18th 2024, The company updated the EIA documentation, and the renewed EIA is approved by Ecological Environment Bureau of Suzhou Industrial Park in Mar 2026.	
项目编号: C20200417 苏州工业园区国土环保局 建设项目环境影响评价文件审批告知承诺书 申请人(单位或个人): 朗信启昇(苏州)生物制药有限公司 统一社会信用代码: 91320594MA21J86F8G 法定代表人: 汪枫桦 建设项目名称: 朗信启昇(苏州)生物制药有限公司基因治疗研发新建项目 建设地址: 苏州工业园区星湖街218号生物医药产业园一期C31栋1-3F 联系人及联系方式: 邵玉峰 18551270341 环评文件编制单位: 江苏中升大环境技术有限公司 统一社会信用代码: 91320594MA20GCBC9P 环评文件编制主持人: 王晓艳 职业资格证书编号: 2016035320352015320501000284	苏州工业园区生态环境局 电话: 0512-66680863 传真: 0512-66680899 苏州工业园区建设项目环保审批意见 项目名称: 朗信启昇(苏州)生物制药有限公司基因治疗类药物生产扩建项目 审批文号: 20260027 建设单位: 朗信启昇(苏州)生物制药有限公司 项目地址: 苏州工业园区星湖街218号生物医药产业园一期C31楼 朗信启昇(苏州)生物制药有限公司: 你公司报送的《朗信启昇(苏州)生物制药有限公司基因治疗类药物生产扩建项目环境影响报告书》(以下简称《报告书》)等相关文件收悉, 经研究, 批复如下: 一、该项目新增年产基因治疗类药物10L, 具体产能及产品规格见《报告书》。根据《报告书》结论, 在落实各项污染防治措施、污染物达标排放的前提下, 从环保角度分析, 同意该项目按申报内容在申报地址建设。 二、在项目工程设计、建设和运营管理中, 你单位须落实《报告书》中提出的各项环保要求, 严格执行环保“三同时”制度, 确保各项污染物达标排放, 并须着重做好以下工作: 1. 全过程贯彻清洁生产原则和循环经济理念, 加强生产管理和环境管理, 采用先进的工艺、设备, 减少污染物的产生和排放, 项目的物耗、能
3. Number of construction workers during peak period: 30	
4. Is there a construction camp on site, if so, please provide photos of the construction camp. ☐ Yes ☒ No	
5. Please confirm that EHS requirements are incorporated into engineering, procurement, and construction contracts. ☒ Yes ☐ No	
6. Please confirm whether the contractor has developed a construction site environmental management plan. ☒ Yes ☐ No	

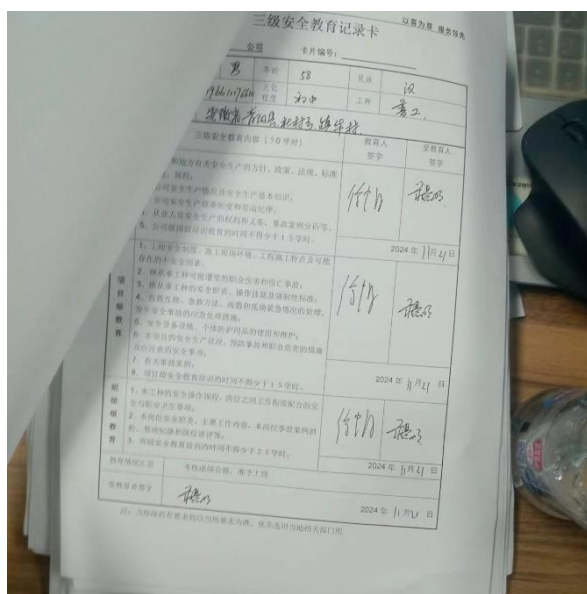
7. Whether to provide personal protective equipment to workers (please attach photos of workers on site construction)

☒ Yes ☐ No



8. Whether EHS-related training is provided to workers on a regular basis (please attach training records)

☒ Yes ☐ No



9. Are real-time monitoring facilities for noise, PM₁₀ and PM_{2.5} installed and connected to the local government's control center?

☐ Yes ☒ No

10. Whether the noise, PM₁₀ and PM_{2.5} monitoring results have exceeded the standard. If so, what corrective measures have been taken

☐ Yes ☒ No

11. Do contractor workers have access to the company's workplace-related grievance mechanisms? If not, how does the company ensure that contractor workers have avenues to raise grievances related to labor and working and employment conditions?

☒ Yes ☐ No

The on-site notice board posts the name and contact information of the responsible person of Party A, and full personnel records for all workers are properly kept and maintained.

Appendix 10 E&S Monitoring Report-Star Sports

Company Name	Beijing Star Sports Medical Equipment Co.		
Main business	Sports medicine medical device production, research and development, sales		
Address	A018\B018, Building 1, No.25, Jinghai 2nd Road, Yizhuang Economic & Technological Development Zone, Beijing		
Website / Homepage	www.starsportmed.com		
Management staff responsible for environmental and social affairs	Name: Xu Jingtao Position: Administrator		
Prepared by	Name: Xu Jingtao Position: Administrator Contact: 13718905797		
Reporting Period	1 Jan-31 Dec, 2025		
Number of employees		Male	Female
	Permanent Staff	196	194
	Outsourced	0	0
	Management	30	17
New jobs created during the reporting period	15		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees	None		
Please confirm that the company fully complies with national and local environment, health and	☒ Yes ☐ No		

safety (EHS) and labor-related laws and regulations.				
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	1) Regularly carry out sports medical knowledge popularization activities in the community. 2) Organize sports medical lectures on-line to provide extensive information for sports medicine doctors			
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None			
Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None			
Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None			
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	None			
Please describe the environmental, health and safety	Training Topics	Time	Training Target	No. of participants

training and fire drills organized during this reporting period	EHS Training	2025.09	Personnel from all related departments	41
	Safe production training	2025.11	Related Employees	109
Please confirm that the company does not employ child labor and forced labor	☒ Yes ☐ No			
Hazardous waste	None			
Implementation of E&S corrective action plan.	No corrective action plan is required.			

If there are projects under construction during the reporting period, please fill in the following table.

1. Please briefly describe the construction content, start time and expected completion time of the project under construction

The Suzhou Smart Factory is currently under decoration. The land was located on the South of Huashihu Road and east of Qinan Road, Yangcheng Lake Town, Xiangcheng District, Suzhou City, the land was acquired through a public bidding by Suzhou municipal government, so it did not involve any land acquisition and resettlement issues.

Timeline for the implementation of the Project is as follows:

- Nov 2023, The official listing announcement for the Suzhou Xingyue Land parcel was published, and the Star Sports company paid the bidding deposit;
- Dec 2023, complete the land bidding process, signed the land transfer contract and paid the land transfer fee along with relevant taxes.
- Jan 2024, obtained the land use right certificate and the construction permit;
- 20th Feb 2024, The Environmental Impact Report was approved by the Suzhou Ecological Environment Bureau.
- 26th Feb 2024, officially began construction.
- March 2025, Obtained the completion acceptance filing
- The factory building decoration is planned to start in March 2026, and is expected to be accomplish in August 2026.

2. Has the project under construction obtained the relevant environmental social permit? If yes, please indicate the approval time and approval body (e.g. environmental impact assessment)

☒ Yes ☐ No

- The environmental impact assessment was approved by the Suzhou Ecological Environment Bureau on Feb 20, 2024.
- Suhuanjian [2024] No. 0028 (Issue 07)
(<http://sthjj.suzhou.gov.cn/szhhbj/jspzxmqq/202402/7bd67336219e4d60b46e7a4daba66986.shtml>)

苏州市生态环境局文件

苏环建〔2024〕07 第 0028 号

关于苏州星悦智慧医疗科技有限公司新建生产植入物耗材及手术工具项目建设项目环境影响报告表的批复

苏州星悦智慧医疗科技有限公司:

你单位报送的《苏州星悦智慧医疗科技有限公司新建生产植入物耗材及手术工具项目建设项目环境影响报告表》(以下简称《报告表》)收悉。经研究,现批复如下:

一、该项目建设地址为:苏州市相城区阳澄湖镇西岸湖路南、庙南路东。建设内容及规模为:年生产植入物耗材 976000 个、手术工具 68000 个。

二、根据你单位委托苏州山水环保科技有限公司(编制主持人:秦玲,职业资格证书管理号:202305035320000000084)编制的《报告表》结论,该项目的实施将对生态环境造成一定影响,在切实落实各项污染防治、环境风险防范措施,确保各类污染物稳定达标排放的前提下,从生态环境保护角度分析,该项目建设

- 1 -

3. Number of construction workers during peak period:

There are currently 0 people. It is expected that there will be around 40 people during the peak period

4. Is there a construction camp on site, if so, please provide photos of the construction camp?

☐ Yes ☒ No

5. Please confirm that EHS requirements are incorporated into engineering, procurement and construction contracts.

☒ Yes ☐ No

6. Please confirm whether the contractor has developed a construction site environmental management plan ☒ Yes ☐ No
7. Whether to provide personal protective equipment to workers (please attach photos of workers on site construction) ☒ Yes ☐ No
8. Whether EHS-related training is provided to workers on a regular basis (please attach training records) ☐ Yes ☒ No - On March 2025, the factory obtained the completion acceptance filing - The factory building decoration is planned to start in March 2026, and is expected to be accomplish in August 2026. - In 2025, the factory did not stat decoration project, there are no workers on construction in the factory, and no EHS training has been conducted by 2025.
9. Are real-time monitoring facilities for noise, PM10 and PM2.5 installed and connected to the local government's control center? ☒ Yes ☐ No
10. Whether the noise, PM10 and PM2.5 monitoring results have exceeded the standard. If yes, what corrective measures have been taken ☐ Yes ☒ No
11. Do contractor workers have access to the company's workplace-related grievance mechanisms? If not, how does the company ensure that contractor workers have channel to raise grievances related to labor and working and employment conditions? ☒ Yes ☐ No

Appendix 11 E&S Monitoring Report-Hemo

Company Name	Hemo (China) Bioengineering Co., Ltd.		
Main business	Medical device research and development, production and sales		
Address	No. 300, Torch Road, Weihai High-tech Zone, Shandong		
Website / Homepage	www.hemochina.com		
Management staff responsible for environmental and social affairs	Name: Xia Jungang Title: Director of Public Affairs		
Prepared by	Name: Wang Xi Position: Public Affairs Manager Contact: 13406318988		
Reporting Period	1 Jan-31 Dec, 2025		
Number of employees		Male	Female
	Permanent Staff	52	74
	Outsourced	0	0
	Management	12	6
New jobs created during the reporting period	10		
Were there any retrenchment during the reporting period,	None		

and if so, please briefly describe the layoff program and number of employees	
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	☒ Yes ☐ No
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	Carry out stroke science popularization activities to enhance community residents' awareness of stroke prevention and treatment and contribute to reducing stroke disability and fatality rates.
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None
Please describe any employee	The company has set up an employee suggestion box, established regulations for managing employee proposals and

complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	appeals, and the Human Resources and Administration Department is responsible for collecting and providing feedback. Currently, no employee complaints have been received.				
Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None				
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	None				
Please describe the environmental, health and safety training and fire drills organized	Training Topics	Time	Training Target	No. of participants	

during this reporting period	Safety production training	2025.1.26	All staff	76	
	Emergency management knowledge training	2025.6.25-26	All staff	76	
	Fire drill	2025.5.28	All staff	76	
Please confirm that the company does not employ child labor and forced labor	☒ Yes ☐ No				
Hazardous waste	The production process generates a small amount of hazardous waste, and an agreement has been signed with a qualified company for hazardous waste treatment Category: acetic acid, perchloric acid Yield: acetic acid 5L, perchloric acid 9L Name of disposal unit: Weihai Environmental Protection Technology Service Co., Ltd. Photos of the company's temporary storage site:				
					
Implementation of E&S corrective action plan:	The company continues to implement energy-saving and emission reduction activities to reduce the potential impact of production activities on the environment and environmental				

1. establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclosed the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days from receiving the recommended corrective action plan from LC. 2. establish employment GRM including procedures and timeframe to address the complaints and concerns raised by the employees within 90 days receiving the recommended corrective action plan from LC. 3. Contract a licensed third party to handle the hazardous waste in line with the national laws and regulations once it generates hazardous waste.	protection. Through technological improvements, process adjustments, and capacity rationalization measures, the company reduces production energy consumption, increases process efficiency, and controls the generation of production waste liquid based on actual production and market demand. 1) Partially done, the company had contact information on the official website: http://www.hemochina.com/public/zshz-106.html. The fund will continue to encourage the companies to establish more comprehensive and robust Grievance Redress Mechanisms. 2) Done. An employment GRM was established and released in August 2021. 3) Done. Weihai Environmental Protection Technology Service Co., Ltd was contracted to handle the hazardous waste.
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Appendix 12 E&S Monitoring Report-Exegenesis

Company Name	Exegenesis Bio Inc.		
Main business	Biomedicine and precision treatment		
Address	Building 11, Hexiang Technology Center Hangzhou, Zhejiang Province, China		
Website / Homepage	www.exegenesisbio.com		
Management staff responsible for environmental and social affairs	Name: Huang Xiaojun Position: Executive Director of Operations		
Prepared by	Name: Huang Xiaojun Position: Executive Director of Operations Contact: 0571-87293725		
Reporting Period	1 Jan-31 Dec, 2025		
Number of employees		Male	Female
	Permanent Staff	32	33
	Outsourced	0	0
	Management	8	5
New jobs created during the reporting period	1		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program	☐ Yes ☒ No None		

and number of employees	
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	None
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	None
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None
Please describe any employee complaints or grievances received during	None

the reporting period and indicate how they were resolved and whether any remain unresolved				
Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None			
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	Training Topics	Time	Training Target	No. of participants
	Pre-Spring Festival safety training	2025.1.23	Warehouse, Engineering Department, Production, Research and Development, Quality Control	4
	Post Spring Festival safety training	2025.2.10	Warehouse, Engineering Department, Production, Research and Development, Quality Control	5
	Occupational Health Training	2025.3.27	Warehouse, Engineering Department, Production, Research and	2

			Development, Quality Control	
	Requirements for biosafety laboratories (hazardous waste management) and laboratory strain (virus) records	2025.4.28	Warehouse, Engineering Department, Production, Research and Development, Quality Control	3
	Biosafety drill	2025.5.30	Warehouse, Engineering Department, Production, Research and Development, Quality Control	7
	Basic knowledge of occupational disease prevention and control supervision and management	2025.5.29	Warehouse, Engineering Department, Production, Research and Development, Quality Control	7
	Safety Month warning video	2025.6.23-6.26	All staff	51
	Basic knowledge of hazardous chemicals and hazardous wastes	2025.7.25	Warehouse, Engineering Department, Production, Research and Development, Quality Control	4

	Emergency plan	2025.7.25	Warehouse, Engineering Department, Production, Research and Development, Quality Control	15
	Fire evacuation emergency drill	2025.8.27	Warehouse, Engineering Department, Production, Research and Development, Quality Control	7
	Training on emergency response to hazardous chemicals and environmental risk identification	2025.9.24	Warehouse, Engineering Department, Production, Research and Development, Quality Control	4
	Hazard source identification	2025.10.30	Warehouse, Engineering Department, Production, Research and Development, Quality Control	2
	Fire safety training	2025.11.28	Warehouse, Engineering Department, Production, Research and Development, Quality Control	4

	Basic knowledge of supervision and management of occupational disease prevention and control	2025.12.19	Warehouse, Engineering Department, Production, Research and Development, Quality Control	4																					
Please describe the environmental, health and safety training and fire drills organized during this reporting period	None																								
Please confirm that the company does not employ child labor and forced labor	☒ Yes ☐ No																								
Hazardous waste	<table border="1"> <thead> <tr> <th>S N</th><th>Hazardous Waste Name</th><th>Amount produced (tons/year)</th><th>Disposal agency</th></tr> </thead> <tbody> <tr> <td>1</td><td>Lab waste liquid</td><td>3.02135</td><td rowspan="5">Huzhou Lijia Environmental Service Co., Ltd. Linhai Xinghe Environmental Technology Co., LTD</td></tr> <tr> <td>2</td><td>Waste packaging materials</td><td>0.03</td></tr> <tr> <td>3</td><td>Waste glasses</td><td>0.03</td></tr> <tr> <td>4</td><td>Waste filter element</td><td>0.21</td></tr> <tr> <td>5</td><td>Waste activated carbon</td><td>0.05</td></tr> </tbody> </table>					S N	Hazardous Waste Name	Amount produced (tons/year)	Disposal agency	1	Lab waste liquid	3.02135	Huzhou Lijia Environmental Service Co., Ltd. Linhai Xinghe Environmental Technology Co., LTD	2	Waste packaging materials	0.03	3	Waste glasses	0.03	4	Waste filter element	0.21	5	Waste activated carbon	0.05
S N	Hazardous Waste Name	Amount produced (tons/year)	Disposal agency																						
1	Lab waste liquid	3.02135	Huzhou Lijia Environmental Service Co., Ltd. Linhai Xinghe Environmental Technology Co., LTD																						
2	Waste packaging materials	0.03																							
3	Waste glasses	0.03																							
4	Waste filter element	0.21																							
5	Waste activated carbon	0.05																							

		Total	3.3414	
				
Implementation of E&S corrective action plan: 1. establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclosed the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days receiving the recommended corrective action plan from LC. 2. establish employment GRM including procedures and timeframe to address the complaints and concerns raised by the employees within 90 days receiving the recommended corrective action plan from LC. 3. continue to comply with the regulatory	1) Done. Internal channels for employee grievance feedback have been established, and a section on grievance mechanisms in the employee handbook was added in Q2 of 2022. 2) Partially done, the company had contact information on the official website: https://exegenesisbio.com/contact/. The fund will continue to encourage the companies to establish more comprehensive and robust Grievance Redress Mechanisms.			

requirements and submit annual E&S report in the agreed format to LC by end of January each year.

Contact Information

联系方式

Exegensis Bio

基因生物

Mailing Address:

收件地址

美国办事处

Springhouse Innovation Park Building# 3
727 Norristown Rd, Suite# 360
Lower Gwynedd, PA 19002

麻省办事处

81 Hartwell Avenue, Suite# 120
Lexington, MA 02421

中国站点

中国, 浙江省, 杭州市, 钱塘区

医药港小镇和季科技中心11栋

新加坡办事处

6 Raffles Quay, #14-06
Singapore 048580

联系我们

姓名 *

姓-名

姓-名

电子邮箱 *

选择相关事项 *

请详细说明您的需求 *

提交

Appendix 13 E&S Monitoring Report-Lynk Pharma

Company Name	Lynk Pharmaceutical (Hangzhou) Co., Ltd.		
Main business	Medicine drug research		
Address	Room 402, Building 5, No. 291, Fucheng Road, Qiantang New District, Hangzhou		
Website / Homepage	https://www.lynkpharma.com/ (The website now is under maintenance)		
Management staff responsible for environmental and social affairs	Name: Chen Yan Position: COO		
Prepared by	Name: He Xin Position: Head of EHS Contact: 15382377755		
Reporting Period	1 Jan-31 Dec, 2025		
Number of employees		Male	Female
	Permanent Staff	37	37
	Outsourced	1	0
	Management	16	6
New jobs created during the reporting period	8		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees	None		
Please confirm that the company fully complies with	☒ Yes ☐ No		

national and local environment, health and safety (EHS) and labor-related laws and regulations.				
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	None			
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None			
Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None			
Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None			
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the	Training Topics	Time	Training Target	No. of participants
	Fire safety training	2025.3.6	All staff	74

reporting period, and if so, briefly explain the reasons and corrective measures	Safety Production Month training	2025.6.26	All staff in Hangzhou Office	39
Please describe the environmental, health and safety training and fire drills organized during this reporting period	None			
Please confirm that the company does not employ child labor and forced labor	☒ Yes ☐ No			
Hazardous waste	Category: Solid hazardous waste and liquid hazardous liquid Yield: 7.44 tons Name of disposal unit: Dongyang Nahai Environmental Technology Co., LTD Photos of the company's temporary storage site: 			
Implementation of E&S corrective action plan: 1) establish formal GRM with clearly defined	1) Partially done, the company had contact information on the official website: https://www.lynkpharma.com/. The fund will continue to encourage the companies to establish more			

procedures and timeframe to resolve any complaints raised by the affected people and disclosed the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days receiving the recommended corrective action plan from LC. 2) Purchase spill containment trays and place the waste liquid container on the spill containment trays to prevent accidental spills within 90 days receiving the recommended corrective action plan from LC. 3) Develop annual EHS training program within 90 days receiving the recommended corrective action plan from LC and provided adequate trainings on EHS, especially on chemicals management and emergency preparedness to its staff every year. 4) establish employment GRM including procedures and timeframe to address the complaints and concerns raised by the employees within 90 days. 5) Continue to comply with the regulatory requirements and submit annual E&S report in the agreed format to LC by end of January each year.	comprehensive and robust Grievance Redress Mechanisms. 2) Done. Trays are provided on site. 3) Done. See the training records. 4) Done. The internal GRM was established in the 2025Q1 and published on the public board for all the employees' reference. 5) The E&S monitoring report was provided to LC in March 2025.
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Appendix 14 E&S Monitoring Report-ForQaly

Company Name	ForQaly Medical Devices (Shanghai) Co., Ltd.		
Main business	Ventricular assist system product development and manufacture		
Address	No. 36, Lane 100, Banxia Road, Pudong New Area, Shanghai		
Website / Homepage	www.forqaly.com		
Management staff responsible for environmental and social affairs	Name: Shi Xuejiao Position: Production Manager		
Prepared by	Name: Zhang Jie Position: President's Office Manager Contact: 13585716290		
Reporting Period	1 Jan-31 Dec, 2025		
Number of employees		Male	Women
	Permanent Staff	49	49
	Outsourced staff	0	0
	Management	4	8
New jobs created during the reporting period	None		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and	None		

number of employees	
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	☒ Yes ☐ No
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	None
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None
Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None

Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None			
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	None			
Please describe the environmental, health and safety training and fire drills organized during this reporting period	Training theme	Time	Target	No. of participants
	Emergency response drill for mechanical injury accidents	2025/3/6	Partial Employee	7
	Fire emergency drill for chemical flash fire accidents	2025/4/21	Partial Employee	10
	Safe electricity usage	2025/4/25	Partial Employee	14
	Safety Month training	2025/6/9	All Staff	98
	Laboratory safety training	2025/8/21	Related employee	14
	Fire safety capability	2025/11/11	All Staff	92

	improvement and safety training																		
Please confirm that the company does not employ child labor and forced labor	☒ Yes ☐ No																		
Hazardous waste	Shanghai Changying Environmental Service Company was engaged to handle the hazardous waste. <table border="1"> <thead> <tr> <th>Hazardous waste category code</th><th>Hazardous waste name</th><th>Yield</th></tr> </thead> <tbody> <tr> <td>900-047-49</td><td>Laboratory solid waste</td><td>0.187t</td></tr> <tr> <td>900-047-49</td><td>Laboratory waste liquid</td><td>0.49t</td></tr> <tr> <td>900-041-49</td><td>Waste activated carbon</td><td>0.12t</td></tr> <tr> <td colspan="2">Total</td><td>0.797t</td></tr> </tbody> </table> Photos of the company's temporary storage site. 				Hazardous waste category code	Hazardous waste name	Yield	900-047-49	Laboratory solid waste	0.187t	900-047-49	Laboratory waste liquid	0.49t	900-041-49	Waste activated carbon	0.12t	Total		0.797t
Hazardous waste category code	Hazardous waste name	Yield																	
900-047-49	Laboratory solid waste	0.187t																	
900-047-49	Laboratory waste liquid	0.49t																	
900-041-49	Waste activated carbon	0.12t																	
Total		0.797t																	
Implementation of E&S corrective action plan: 1. establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclose the grievances	1. Partially done, the company had contact information on the official website: https://www.forqaly.com. The fund will continue to encourage the companies to establish more comprehensive and robust Grievance Redress Mechanisms.																		

channel to the public, including AIIB's Project-affected People's Mechanism within 90 days receiving the recommended corrective action plan from LC. 2. establish employment GRM including procedures and timeframe to address the complaints and concerns raised by the employees within 90 days. 3. Keep LC informed when environmental permits are renewed; 4. Continue to comply with the regulatory requirements and submit annual E&S report in the agreed format to LC by end of January each year.	2. Done in 2023, The internal GRM is established in the first quarter of 2023, the company regularly conducts employee satisfaction surveys as a supplement. 3. Done. The certificate of Shanghai Changying Environmental Service Company renewed to 25 Dec 2024. 4. The E&S report was provided to LC in April 2026.
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Appendix 15 E&S Monitoring Report-HCSci

Company Name	HC Scientific (Chengdu) L.L.C		
Main business	R&D, production and sales of intelligent automation equipment and solutions for biological breeding and life sciences		
Address	Building H2, Tianfu International Bio-town, Chengdu, Sichuan		
Website / Homepage	www.hcsci.com		
Management staff responsible for environmental and social affairs	Name: Yang Jingzhong Position: Head of President Office		
Prepared by	Name: Feng Haozhou Position: Board Secretary Contact: 15228983680		
Reporting Period	1 Jan-31Dec, 2025		
Number of employees		Male	Female
	Permanent Staff	143	63
	Outsourced	0	0
	Management	15	8
New jobs created during the reporting period	None		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and	None		

number of employees	
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	☒ Yes ☐ No
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	Recruit employees from the surrounding communities; Cooperate with surrounding communities use idle land surrounding communities to develop pollution-free without hazard equipment experiment; Take an active part in campus organization activities
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None
Please describe any employee complaints or grievances received during the	None

reporting period and indicate how they were resolved and whether any remain unresolved					
Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None				
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	None				
Please describe the environmental, health and safety training and fire drills organized during this reporting period	Training Topics	Time	Training Target	No. of participants	
	Refresher training for main person in charge of safety production	2025.10	Main person in charge of safety production	2	

	Refresher training for the main person in charge of work safety	2025.10	Main person in charge of work safety	1
	Refresher training for the main person in charge of occupational health	2025.7	Main person in charge of occupational health	2
	Hazardous chemicals training	2025.11	Biological applications warehousing logistics	30
	Safety laws and regulations training	2025.7	All staff	206
	Fire safety training	2025.12	All staff	206
Please confirm that the company does not employ child labor and forced labor	☒ Yes ☐ No			
Hazardous waste	Category: Laboratory solid waste Yield: 1t/Year Name of disposal unit: Sichuan Gerun Zhongtian Environmental Protection Technology Co., Ltd. 			

Implementation of E&S corrective action plan: 1. report to LC the progress of EHS system development and provide relevant documents as requested; 2. continue to comply with the regulatory requirements and submit annual E&S report in the agreed format to LC by end of January each calendar year. 3. establish employment GRM including procedures and timeframe to address the complaints and concerns raised by the employees within 90 days receiving the recommended corrective action plan from LC. 4. establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclosed the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days receiving the recommended corrective action plan from LC.	1. Done. The EHS system has been formulated for the management of hazardous chemicals and explosive chemicals. A training plan on EHS for 2022 has been drawn up and various EHS related trainings were organized in 2022. One EHS specialist was hired in 2022. 2. Done. The E&S report was provided to LC in April 2026. 3. Done. The employee grievance mechanism has been improved, and the employee grievance channels have been supplemented and updated in the employee handbook. 4. Partially done, the company had contact information on the official website: http://www.hcsci.com/index.html. The fund will continue to encourage the companies to establish more comprehensive and robust Grievance Redress Mechanisms.
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