LC HEALTHCARE FUND II, L.P.

4th Environmental and Social Performance Monitoring Report

Reporting Period: January 1, 2024-December 31, 2024

Report Completion: May 2025

ANNUAL ENVIRONMENTAL AND SOCIAL PERFORMANCE MONTORING REPORT

Prepared by Legend Capital for Asian Infrastructure Investment Bank

Abbreviations

AIIB	Asian Infrastructure Investment Bank
EHS	Environmental, Health and Safety
ESMS	Environmental and Social Management System
ESG	Environmental, Social, Governance
AESPR	Annual Environmental and Social Performance Monitoring Report
E&S	Environmental and Social
GRM	Grievance Redress Mechanism
HC	Healthcare
IC	Investment Committee
LC	Legend Capital
R&D	Research and Development

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This is the 4th annual environmental and social performance monitoring report (AESPR) presenting the status of environmental and social management system (ESMS) implementation for LC Healthcare Fund (hereafter "LC HC II") from 1 January 2024 to 31 December 2024.

I. ESPR Preparer

Report prepared by: Li Yong, ESMS manager of LC Healthcare Fund II.

E-mail: liyong@legendcapital.com.cn

Report Date: May 2025

II. Organizational Structure and Implementation of Environmental and Social Management Systems

The current version of the ESMS was issued in 2020 and there has been no update since then. The organizational structure and responsibilities are elaborated in the Table 1. This ESMS arrangement functioned well and there have been no changes as end of 2024.

Table 1 ESMS Implementation Arrangement

Role	Composition and Responsibilities
ESG Committee	The highest decision-making body for ESG management, chaired by the Firm's President. Committee Members: Chief Investment Officer, Chief Operating Officer, Managing Directors & heads of the fund teams, General Legal Counsel, and Managing Director in charge of the management consultant team. Responsibilities of ESG Committee:

	- Formulate medium and long-term strategy plans for ESG investing; - Approve the policies, systems and procedures about ESG investing; - Guide and supervise the work of ESG Working Group; - Regularly review the progress of ESG work via reports.
Investment Committee ("IC") of LC HC II:	The IC of LC HC II is composed for the firm's President and the Managing Directors of LC HC II. The IC will be responsible for reviewing the screening and due diligence results in relation to E&S.
ESG Working Group	Execution body for ESG management, led by Managing Director in charge of management consultant team. Group Leader: Managing Director in charge of the management consultant team. Group Members: ESG coordinators from the fund teams, investor relation team, public relation team, legal team, management consultant and financial consultant teams. Responsibilities of ESG Working Group: - Provide decision-making support for the medium and long-term ESG strategy; - Provide specialized support to formulate ESG-related policies, systems and procedures; - Plan, organize and implement ESG-related research and knowledge management activities; - Implement and promote ESG-related investing policies and monitor the relevant processes;

	- Fulfil LPs' requirements on ESG surveys and related information disclosure; - Work with PRI to implement information disclosure and other requirements.
ESMS Manager of LC HC Fund II	The ESMS Manager will oversee the implementation of the ESMS and ensure that these procedures are integrated with LC HC II's business processes for evaluating a project's financial risks. The responsibilities of the ESMS Manager include: • Cooperate with ESMS coordinator to supervise the implementation of ESMS; • Periodically review the operational difficulties or issues arising from ESMS implementation, arrange meetings to propose solutions, and report to ESG Committee for decision making when necessary; • Prepare the Annual Environmental & Social Performance Report to AIIB based on the annual performance reports provided by its investee companies. LC HC II will also ensure that the AIIB is notified if and when the ESMS Manager leaves that position, and will provide the AIIB the name of the new ESMS Manager. The ESMS Manager will maintain a file of qualified environmental and social consultants who can be called upon to assist in conducting environmental and social reviews.

ESMS Coordinator	• Evaluate environmental compliance of potential investee company with applicable requirements during due diligence, such as site visits, collection of necessary E&S documentation, and prepare E&S due diligence reports; • Supervise portfolio project's on-going compliance with the applicable requirements on a regular basis, which may include: - Conducting site visits, monitoring the implementation of E&S action plan (if any) by the investee company, reviewing investee company's annual reports, and recording investee company's E&S on-going performance; - Resolving E&S issues in case of non-compliance, and where needed, preparing a time-bound correction action plan with specific follow-up procedures.
Project manager	• Conduct initial E&S risk screening during project identification stage; • Provide necessary support for ESMS manager.
Legal Department	• Ensures that LC HC Fund II's environmental and social requirements are incorporated in legal agreements for each transaction; • Advise if a investee's non-compliance with environmental and social clauses constitutes a breach of contract and is considered an Event of Default under the terms of the legal agreement that requires follow-up by Senior Management.

Table 2 gives contacts of key persons holding responsibility for environmental and social performance. There have been no changes in the personnel responsible for environmental and social management compared to the previous reporting period.

Table 2: Deployment of Environment and Social Management Staff

Name	Position	Contact
Wang Yan	Director of Brand and Public Relations	wangyan@legendcapital.com.cn
Li Yong	Chief Management Consultant/ESMS Manager	liyong@legendcapital.com.cn
Liu Yaxu	Investment analyst/ESMS coordinator	liuyx@legendcapital.com.cn

On the April 1st 2024, Healthcare Fund has conducted an In-depth ESG-specific training. The training consisted of interactive workshops and seminars, aimed at strengthening the team's ability to integrate ESG considerations into investment decisions. A special emphasis was placed on E&S management system and ESDD methodology.

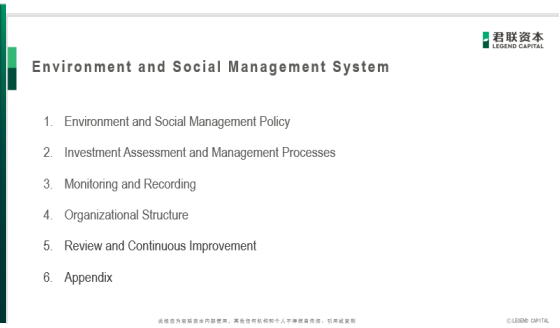
The training targeted trainees including Healthcare Fund Team, Management Consultants, ESG Working group and Legal Counsel, ensuring that key decision-makers were well-equipped with comprehensive ESG knowledge. Detailed records of the training, including schedule, content, and participant feedback is containing in the Table 3 as below:

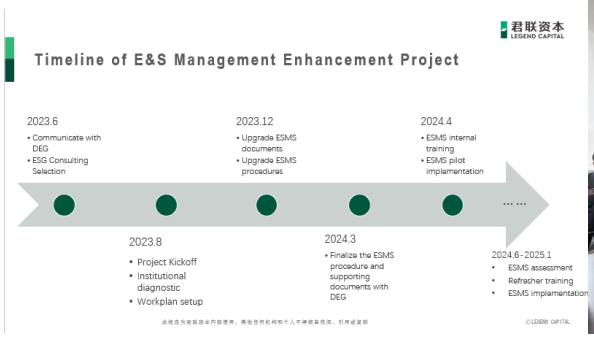
Table 3: Legend Capital ESRM Training

Legend Capital ESRM Training			
Date	**2024.4.1**		Healthcare SEA Fund ESMS, highlighting the differences from that of Healthcare II Fund, such as the exclusion list and risk categorization tool, etc.

Location	Legend Capital Beijing Office & Online	**Training Content**	Key requirements with a focus on IFC Performance Standards (esp. 1&2), ILO Fundamental Standards and Basic Terms and Conditions of Employment, mapping the ESDD questionnaire and checklist.
Attendance	ESG WG Healthcare Fund Team Management Consultants Legal Counsel IR Manager PR Director		ESDD methods and 3 case studies addressing different steps of ESDD, covering screening against exclusion list, categorization, initial assessment, questionnaire and document assessment, on-site visit, and risk evaluation.
Number of participants	27		Tips in engaging with external experts and referring third party ESDD reports were also highlighted.
			Resources for further learning, such as WBG EHS Sectoral Guidelines.

Training Record





ESRM 医疗健康行业：案例 1

君联资本 LEGEND CAPITAL

本案例根据真实项目改编开发，版权归君联资本所有，仅供君联资本内部培训使用。

医疗健康行业：案例 2

B 公司成立于 2015 年，聚焦医疗器械制造，包括轮椅、电动病床、制氧机等，其
中制氧机通过了美国 FDA510（K）认证。其生产车间涉及的工艺流程包括塑料注塑（主
轮制造）、金属管钻孔和弯曲、管材焊接、管材涂层、组装等。钢管、座垫、背垫、压缩
机、纸箱等材料由外部供应商提供。

该公司及其生产车间、仓库、食堂、员工宿舍均位于 XX 工业园区内。

该公司现有员工 420 人；其中，男性员工 260 人，女性员工 160 人。管理层与行
政人员按月支付工资，派遣员工按小时计工资，生产车间工人按件计工资。

贵司拟进行股权投资，投资金额为 500 万美元。

达 1000 万支/年。

贵司拟投资金额为 750 万美元，用于两种药物的临床开发以及补充部分流动资金。

医疗健康行业：案例 3

C 公司成立于 2015 年，是一家总部在中国上海的高新技术企业，致
药企业提供全方位、一体化的实验室研发和生产服务（CRO&CDMO）。
化合物发现及优化；合成路线筛选；工艺开发、优化和放大；工艺验证；
中间体及原料药生产。

目前，C 公司在上海、重庆、西安、美国新泽西等地以全资子公司开
发实验室和生产基地，并在美国、欧洲设立运营和分支机构，为来自全球
供研发和生产服务。

实验室/基地	业务范围	持股比例	状
上海研发中心 1	临床 CRO	100%	运
上海研发中心 2	临床 CRO	60%	建
重庆研发中心	临床药 CRO	100%	建

您对本次培训的整体满意度如何？	您认为本次培训中哪些内容对您帮助较大？（可多选）	在今后培训中，您希望不断巩固或更新的知识与技能有哪					在实践中可能碰到的问题？	您在哪些方面还希望了解更多？或者希望增加哪些培训内容？
		国际相关标准	ES(G)风险识别与评估	ES(G)风险管理	行业和/或地区ES(G)风险	其他：		
非常满意	LP（DEG)的要求，东南亚基金层面的环境和社会管理制度与流程，模板工具的使	长期需求	短期急需	短期急需	短期急需			
比较满意	LP（DEG)的要求，东南亚基金层面的环境和社会管理制度与流程，模板工具的使	长期需求	短期急需	短期急需	短期急需			
非常满意	LP（DEG)的要求，东南亚基金层面的环境和社会管理制度与流程，案例分享/练习	长期需求	长期需求	短期急需	短期急需	无需求		
非常满意	LP（DEG)的要求，东南亚基金层面的环境和社会管理制度与流程，案例分享/练习	长期需求	长期需求	长期需求	长期需求		对相关法规和执行还不够熟悉	暂无
非常满意	东南亚基金层面的环境和社会管理制度与流程，模板工具的使用，案例分享/练习	长期需求	长期需求	长期需求	长期需求		发现esg相关问题后，如何推动企业优化？	希望了解更多关于治理方面的内容
比较满意	LP（DEG)的要求，东南亚基金层面的环境和社会管理制度与流程	长期需求	短期急需	短期急需	长期需求		无	不同国家和地区在ESG关键议题的侧重； 不同国家交易所对上市公司在ESG方面的强制要求
一般	东南亚基金层面的环境和社会管理制度与流程	长期需求	长期需求	长期需求	长期需求	无需求	细节中的处理	快速的判断方法，如何需求帮助
非常满意	LP（DEG)的要求，东南亚基金层面的环境和社会管理制度与流程	长期需求	短期急需	短期急需	短期急需	无需求	东南亚项目ES DD信息收集完整度的问题	无
比较满意	案例分享/练习	长期需求	短期急需	长期需求	长期需求			

As the end of 2024, Legend capital had a total of 135 full-time employees, comprising 71 males and 64 females. LC Healthcare Fund II operates in the framework of Legend Capital, had an investment team with 14 professional investment personnel and 2 fund assistants, including 10 males and 6 females.

III. Summary of Current Operations

AIIB fund has been allocated to 15 investee companies in 2020 (Table 4) and no new invested companies in this reporting period. Environmental and social due diligence (ESDD) has been carried out for all the 15 investee companies prior to the investment. The approved investee companies are categorized as Category B (93%) and Category C (7%). There were no reported significant environmental and social events or grievances related to land acquisition, resettlement, indigenous people's issues, labor, or environmental complaints across all investee companies during this reporting period.

Table 4: Summary of the Investee Companies AIIB Funded

S/N	Company Name	Chinese Name	Logo	Business activity	E&S Category	Any significant environmental and social event [1] during the reporting period? If yes, please specify	Please list any effective grievance or dispute (including court action) regarding land acquisition, resettlement, indigenous, labor, or environmental complaints received during 2024
1	Aidite	爱迪特	Aidite | 爱迪特	Manufacturing of dental device and equipment	B	None	None
2	Birdo	都创	BirdoTech	CRO, CDMO and Molecular Blocks	B	None	None
3	Biotheus	普米斯	BIOTHEUS 普米斯生物技术	Biomedicine and precision treatment	B	None	None

[1] Examples of significant incidents such as: chemical and/or hydrocarbon materials spills; fire, explosion of unplanned releases, including during transportation; ecological damage/destruction; local population impact, complaint or protest; failure of emissions or effluent treatment; legal/administrative notice of violation; penalties, fines or increase in pollution charges; negative media attention; chance cultural finds; labor unrest or disputes; local community concerns, or NGO/CSO concerns. Also include information if there are any additional land acquisitions and resettlement impacts.

4	Bioheart	百心安		R&D, and manufacturing of cardiovascular device	B	None	None
5	Biomap	百图生科		Information technology and biotechnology	B	None	None
6	dMed	缔脉		Multi-Therapeutic CRO	C	None	None
7	Innostellar	朗信		Biomedicine and precision therapy	B	None	None
8	Bondent	博恩登特		Dental device manufacturing and service	B	None	None
9	Sciwind	先为达		Development of new biomolecules in metabolic diseases	B	None	None
10	Star Sports	天星		Sports medicine medical device production, research and development, sales	B	None	None
11	Hemo	禾木		Medical device research and development, production and sales	B	None	None

12	Exegenesis	嘉因	EXEGENESIS BIO	Biomedicine and precision treatment	B	None	None
13	Lynk Pharma	凌科	LYNK PHARMACEUTICALS	Medicine drug research	B	None	None
14	ForQaly	丰凯利	丰凯利 ForQaly	Ventricular assist system product development and manufacture	B	None	None
15	HCSci	瀚辰光翼	HCSCI 瀚辰光翼	R&D, manufacturing, sales and after-sales service of life science and technology equipment, consumables, reagent automation and intelligent solutions	B	None	None

In the reporting period, there are 4 company which had new construction project (table 6), there are no E&S relevant accidents and penalty happened and no significant E&S Impacts and Risks in the reporting period as far as we know based on ESDD.

Table 6: Summary of new construction of portfolio company during the reporting period

S/N	Company name	Project summary	ESDD methods/Date	Is there any E&S relevant accidents or penalty deduction happened?	Is there any significant E&S impact and risks?
1	Birdo	CDMO production base of Birdo Pharmaceutical (Chongqing Plant)	Legend Capital did not conduct on site visit during the reporting period, the working group did the ESDD through several methods including public information, document review and verify with government departments instead.	There are no E&S relevant accidents and penalty happened in the reporting period base on ESDD	There are no significant E&S Impacts and Risks as far as we know based on ESDD.
2	Aidite	Construction Expansion Project of Dental Materials Manufacturing and Equipment Assembly	Legend Capital did the ESDD through onsite visit (2024.12.24) and public information search. The ESMS Manager and project manager did site visit during the construction period and did ESDD.	There are no E&S relevant accidents and penalty happened in the reporting period base on ESDD	There are no significant E&S Impacts and Risks as far as we know based on ESDD.

3	Innostellar	Decoration and Renovation Project of Building C31	Legend Capital did not conduct on site visit during the reporting period, the working group did the ESDD through several methods including public information, document review and verify with government departments instead.	There are no E&S relevant accidents and penalty happened in the reporting period base on ESDD	There are no significant E&S Impacts and Risks as far as we know based on ESDD.
4	Star Sports	The Suzhou Smart Factory Project	Legend Capital did the ESDD through onsite visit (2024.11.7) and public information search. The project manager did site visit after the construction done and did ESDD.	There are no E&S relevant accidents and penalty happened in the reporting period base on ESDD	There are no significant E&S Impacts and Risks as far as we know based on ESDD.

IV. Stakeholder Engagement and Grievance Redress

LC HC Fund II has established an external communication mechanism and a dedicated mailbox (grm@legendcapital.com.cn) to receive complaints, which was published on the website of Legend Capital (https://www.legendcapital.com.cn/common_en/index.aspx?nodeid=57). As of the end of this reporting period, no complaints or grievances have been received. The portfolio information of LC HC Fund II was also disclosed on the website (11.pdf (legendcapital.com.cn)).

The LC website also published AIIB's Project-affected People's Mechanism, https://www.legendcapital.com.cn/common_en/index.aspx?nodeid=57.

V. Gaps and Corrective Measures

No corrective actions were required at fund level during this reporting period.

At investee company level, during the pre-investment environmental and social due diligence, some gaps against the ESMS of LC HC Fund II were identified. Afterwards, LC put forward time-bound improvement action plans to the investee companies. Most of the deficiencies have been corrected in 2021 (see the 1st AESPMR). The remaining areas for improvement are shown in the table below. LC ESMS team will continue to follow up and report to the AIIB in the next annual report.

Table 6: Corrective Actions for Investee Companies

SN	Company Name	Corrective actions required	Timeframe
1	Aidite	None	N/A
2	Birdo	1) provide necessary project information to LC and assist LC conduct ESDD for the proposed Shandong plant once the information is available. Birdo shall take corrective actions if any non-compliance is identified during the ESDD. 2) Incorporate the clauses related to minimum space, supply of water, adequate sewage and garbage disposal system, appropriate protection against heat, cold, damp, noise, fire and disease-carrying animals, adequate sanitary and washing facilities, ventilation, cooking and storage facilities natural and artificial lighting, as relevant basic medical services, freedom of movement and of association and good housekeeping into the civil work contract for the new plants. 3) disclose the EIA of Shandong Plant on the website of Birdo when it is ready; 4) conduct consultation and information disclosure with nearby community and other relevant stakeholders for the Chongqing Plant and Shandong Plant prior to commencement of construction. 5) LC will conduct follow-up semi-annual E&S compliance auditing during the construction of	1) Not applicable. Birdo has cancelled the Shandong plant project. 2) Done. Relevant clauses are incorporated into the civil work contract of Chongqing plant. 3) Not applicable. Birdo has cancelled the Shandong plant project. 4) Done. No community in the surrounding. Project information has been disclosed on the entrance of the construction site of Chongqing plant. 5) Birdo provided annual E&S performance report for the Chongqing plant in 2023. Birdo has cancelled the Shandong plant project.

		Chongqing Plant and Shandong Plant and report the status in the annual E&S performance monitoring report to AIIB.	
3	Biotheus	establish employment GRM including procedures and timeframe to address the complaints and concerns raised by the employees within 90 days from receiving the corrective action plan from LC.	Done in 2022
4	Bioheart	None	N/A
5	Biomap	1) establish formal GRM form employees and external stakeholders with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclose the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days from receiving the corrective action plan from LC. 2) set up EHS related department and establish EHS system covering bio-safety, emergency response, chemical management, waste disposal etc. and provide adequate trainings to the staff once the labs are in use.	1) Done in 2022 2) Done in 2022
6	dMed	None	N/A

7	Sciwind	1) The standard operation procedures and regulations regarding to lab management, chemical use management, hazardous waste management and emergency preparedness plan shall be in place prior to operation of the R&D center, which is expected to be in May 2021. 2) SciWind shall develop training plan and organize adequate trainings on EHS to the staff prior to operation, which is expected to be in May 2021. 3) Establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclose the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days receiving the recommended corrective action plan from LC. 4) Establish employee GRM procedures within 90 days from receiving the recommended corrective action plan from LC.	1) Done in 2022. 2) Done in 2022. 3) Partially done. The company had contact information on the official website: https://www.sciwind.com.cn/contact/6.html. The fund will continue to encourage the companies to establish more comprehensive and robust Grievance Redress Mechanisms. 4) Done. Terms on requirements of national labor laws were included in the contract with contactor/supplier.
8	Innostellar	None	N/A
9	Bondent	None	N/A
10	Star Sports	None	N/A
11	Hemo	Establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclosed the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days from receiving the recommended corrective action plan from LC.	1) Partially done, the company had contact information on the official website: http://www.hemochina.com/public/zshz-106.html. The fund will continue to encourage the companies to establish more comprehensive and robust Grievance Redress Mechanisms.
12	Exegenesis	Establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclosed the grievances channel to the public, including AIIB's	1) Partially done, the company had contact information on the official website: https://exegenesisbio.com/contact/. The

		Project-affected People's Mechanism within 90 days receiving the recommended corrective action plan from LC.	fund will continue to encourage the companies to establish more comprehensive and robust Grievance Redress Mechanisms.
13	Lynk Pharma	1) Establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclosed the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days receiving the recommended corrective action plan from LC. 2) Purchase spill containment trays and place the waste liquid container on the spill containment trays to prevent accidental spills within 90 days receiving the recommended corrective action plan from LC. 3) Develop annual EHS training program within 90 days receiving the recommended corrective action plan from LC and provided adequate trainings on EHS, especially on chemicals management and emergency preparedness to its staff every year. 4) establish employment GRM including procedures and timeframe to address the complaints and concerns raised by the employees within 90 days.	1) Partially done, the company had contact information on the official website: https://www.lynkpharma.com/. The fund will continue to encourage the companies to establish more comprehensive and robust Grievance Redress Mechanisms. 2) Done. Trays are provided on site. 3) Done. See the training records. 4) Done. The internal GRM was established in the 2025Q1 and published on the public board for all the employees' reference.
14	ForQaly	1) establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclose the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days receiving the recommended corrective action plan from LC. 2) establish employment GRM including procedures and timeframe to address the complaints and concerns raised by the employees within 90 days.	1) Partially done, the company had contact information on the official website: https://www.forqaly.com/. The fund will continue to encourage the companies to establish more comprehensive and robust Grievance Redress Mechanisms. 2) Done in 2023. The internal GRM has been established in the first quarter of 2023 and the company regularly conducts employee satisfaction surveys as a supplement
15	HCSci	None	N/A

Appendix 1 E&S Monitoring Report-Aidite

<table>
<tr><td>Company Name</td><td>Aidite (Qinhuangdao) Technology Co.</td></tr>
<tr><td>Main business</td><td>Zirconia, glass ceramic, resin and other dental materials, dental digital solutions and services</td></tr>
<tr><td>Address</td><td>No 9. Dushan Road, Economic and Technological Development Zone, Qinhuangdao City, Hebei Province, China</td></tr>
<tr><td>Website / Homepage</td><td>https://www.aidite.com/</td></tr>
<tr><td>Management staff responsible for environmental and social affairs</td><td>Name: Xie Wandong
Position: Vice President</td></tr>
<tr><td>Prepared by</td><td>Name: Zhu Menghan
Position: Representative for securities affairs
Contact information.18833507952</td></tr>
<tr><td>Reporting Period</td><td>Current Period (Jan 1-Dec 31, 2024)</td></tr>
<tr><td>Number of employees statistics (Parent Company)</td><td><table>
<tr><td></td><td>Male</td><td>Female</td></tr>
<tr><td>Permanent staff</td><td>409</td><td>284</td></tr>
<tr><td>Outsourced employees</td><td>0</td><td>1</td></tr>
<tr><td>Management</td><td>53</td><td>29</td></tr>
<tr><td>Total</td><td>462</td><td>313</td></tr>
</table></td></tr>
<tr><td>New jobs created during the reporting period</td><td>150(Parent Company)</td></tr>
<tr><td>Were there any retrenchment during the reporting period, and if so, please briefly describe the</td><td>☐ Yes☒ No</td></tr>
</table>

layoff program and number of employees	There was no economic retrenchment in the reporting period.
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	☒ Yes ☐ No
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	The company hiring 7 people with disabilities, helping them realize their self-worth and improve their life quality
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None
Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and	None

whether any remain unresolved	
Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	None
Please describe the environmental, health and safety training and fire drills organized during this reporting period	

Training Topics	Time	Training Target	Number of participants
Resumption of work and production, as well as annual safety production re-education training	2nd Feb 2024	All staff	570
Emergency response and drill	29th May 2024	All staff	570

Please confirm that the company does not employ child labor and forced labor	☒ Yes ☐ No
Hazardous waste	Category:HW49 Waste chemical reagents. Generation: 0.042 tons Contracted hazardous waste disposal company: Qinhuangdao Xushankou Hazardous Waste Treatment Co. Photos of the company's temporary storage site: Category: HW49 Waste activated carbon. Generation: 0.27 tons Contracted hazardous waste disposal company: Qinhuangdao Xushankou Hazardous Waste Treatment Co. Photos of the company's temporary storage site: Category: HW06 Waste ethanol. Generation: 1.892 tons

	Contracted hazardous waste disposal company: Qinhuangdao Xushankou Hazardous Waste Treatment Co. Photos of the company's temporary storage site: Category: HW06 Waste cutting fluid Generation: 1.99 tons Contracted hazardous waste disposal company: Qinhuangdao Xushankou Hazardous Waste Treatment Co. Photos of the company's temporary storage site: Category: HW13 Waste rubber and packaging materials Generation: 0.019 tons

	Contracted hazardous waste disposal company: Qinhuangdao Xushankou Hazardous Waste Treatment Co. Photos of the company's temporary storage site: Category: HW29 Waste UV lamps. Generation: 0.0025 tons Contracted hazardous waste disposal company: Funing Xinghua waste oil recovery regeneration sales co., ltd Photos of the company's temporary storage site:
Implementation of E&S corrective action plan	No corrective action required.

If there are projects under construction during the reporting period, please fill in the following table.

1. Please briefly describe the construction content, start time and expected completion time of the project under construction •Project name: Construction Expansion Project of Dental Materials Manufacturing and Equipment Assembly •Construction period: 2025.3-2025.3 •Location: Qinhuangdao Economic and Technological Development Zone Feitian Road Rehabilitation Assistive Equipment Park No. 3 Factory Building •Brief description of the project: The project is an upgrade of the production line at the original plant site, utilizing the existing buildings and premises. No civil construction is involved, only simple equipment installation. Large construction machinery will not be used, and the equipment will not be moved or installed at night. Therefore, the construction period has minimal impact on the acoustic environment.
2. Has the project under construction obtained the relevant environmental social permit? If yes, please indicate the approval time and approval body (e.g. environmental impact assessment) ☒ Yes☐ No The environmental impact assessment was approved by the Administrative Approval Bureau of Qinhuangdao Economic and Technological Development Zone on March 5th,2025. Approval Document No :秦开审批环表【2025】第 7 号
3. Number of construction workers during peak period: Not Applicable
4. Is there a construction camp on site, if so, please provide photos of the construction camp? ☐ Yes☐ No Not Applicable
5. Please confirm that EHS requirements are incorporated into engineering, procurement and construction contracts. ☐ Yes☐ No Not Applicable
6. Please confirm whether the contractor has developed a construction site environmental management plan ☐ Yes ☐ No Not Applicable
7. Whether to provide personal protective equipment to workers (please attach photos of workers on site construction) ☐ Yes ☐ No Not Applicable
8. Whether EHS-related training is provided to workers on a regular basis (please attach training records) ☐ Yes☐ No Not Applicable
9. Are real-time monitoring facilities for noise, PM10 and PM2.5 installed and connected to the local government's control center? ☐ Yes☐ No Not Applicable

10. Whether the noise, PM10 and PM2.5 monitoring results have exceeded the standard. If yes, what corrective measures have been taken ☐ Yes☐ No Not Applicable
11. Do contractor workers have access to the company's workplace-related grievance mechanisms? If not, how does the company ensure that contractor workers have channel to raise grievances related to labor and working and employment conditions? ☐ Yes☐ No Not Applicable

Appendix 2 E&S Monitoring Report-Birdo

<table>
<tr><td>Company Name</td><td>Birdo (Shanghai) Pharmaceutical Technology Co.</td></tr>
<tr><td>Main business</td><td>CRO, CDMO and Molecular Blocks</td></tr>
<tr><td>Address</td><td>Room 402-A, Building 1, No.1199 Indigo Road, Pudong New Area, Shanghai</td></tr>
<tr><td>Website / Homepage</td><td>https://www.birdotech.com/zh</td></tr>
<tr><td>Management staff responsible for environmental and social affairs</td><td>Name: Wang Shufa
Position: Secretary of the Board of Directors</td></tr>
<tr><td>Prepared by</td><td>Name: Wang Shufa
Position: Secretary of the Board of Directors
Contact: shufa.wang@birdotech.com</td></tr>
<tr><td>Reporting Period</td><td>1 January 2024-31 December 2024</td></tr>
<tr><td>Number of employees</td><td><table>
<tr><td></td><td>Male</td><td>Female</td></tr>
<tr><td>Permanent staff</td><td>215</td><td>113</td></tr>
<tr><td>Outsourced employees</td><td>0</td><td>0</td></tr>
<tr><td>Management</td><td>80</td><td>25</td></tr>
</table></td></tr>
<tr><td>New jobs created during the reporting period</td><td>No</td></tr>
<tr><td>Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and</td><td>☒ Yes
☐ No
Yes, due to the decrease of orders, 140 employees were laid off and have been paid with N+1 compensation in</td></tr>
</table>

number of employees	accordance with the Labor Law. No related labor arbitration incidents occurred afterward.
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	☒ Yes ☐ No
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	None
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None
Please describe any employee complaints or grievances received during the reporting period and indicate	None

how they were resolved and whether any remain unresolved	
Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	None
Please describe the environmental, health and safety training and fire drills organized during this reporting period	

Training Theme	Time	Who to train	Number of people
OHS system training, Security Training on Prevention of Theft and Robbery for Explosives and Highly Toxic Chemicals	2024-01	R&D team leader and experimenter	20
Laboratory Violations, Control Item Usage Requirements, and	2024-02	R&d team leader, security officer,and supply chain, management staff	19

	Laboratory Hazard Inspection			
	Hazardous chemical/Hazardous Waste Spill emergency drill	2024-03	Newly graduated R&D personnel	25
	Training on Solid Waste Law and requirements for product management	2024-04	R&D team	24
	Accident case sharing and management product attention requirements	2024-05	R&D team	35
	Laboratory hazardous waste safety management and emergency response drill for leakage	2024-06	R&D, analysis, and supply chain teams	20
	Fire safety knowledge, fire fighting operation drills, hazardous chemical leakage drills	2024-07	R&D, analysis, and supply chain teams	18
	Hazardous chemical safety management	2024-08	R&D, analysis, and supply chain teams	23
	Environmental protection knowledge training and regulated products attention requirements	2024-09	R&D, analysis, and supply chain teams	15
	Requirements for Occupational Disease Prevention and Control Promotion Training and Management Product Requisition Process	2024-10	R&D, analysis, and supply chain teams	14

	Fire evacuation drill and practical use of fire extinguishers	2024-11	All staff	140
	Standardized training on laboratory safety and hygiene	2024-12	Staff from all departments	21

Please confirm that the company does not employ child labor and forced labor	☒ Yes ☐ No
Hazardous waste	**Birdo (Shanghai) Pharmaceutical Technology Co., Ltd** Category: Laboratory waste liquid（900-047-49）, hazardous solid waste（900-047-49）, Waste chromatography column（900-041-49）,Waste chemical packaging（900-041-49）, waste mineral oil（900-249-08） and waste activated carbon（900-039-49） Yield: 311.312939 t Contracted company for hazardous waste disposal: Shanghai Tianhan Environmental Resources Co., Ltd, Shaanxi Shuifa Environment Co. Photos of the company's temporary storage on site:

Xi'an Birdo Medical Technology Co, Ltd

Category: Liquid waste (900-047-49), solid waste（900-047-49）,waste carbon（900-041-49）

Yield: 216.46146t

Contracted company for hazardous waste disposal: Shanxi Shuifa Environment Co, Ltd

Photos of the company's temporary storage on site:

	<u>Birdo (Chongqing) Pharmaceutical Technology Co., Ltd</u> Category: Liquid waste (900-047-49), Laboratory contamination（900-047-49）,waste R&D products（900-047-49）, Waste reagent bottles （900-047-49） and Waste activated carbon fiber（900-039-49） Yield: 45.193t Contracted company for hazardous waste disposal: Chongqing Zhongsi Runhe Environmental Protection Technology Co., Ltd/Chongqing Zhongming Gangqiao Environmental Protection Co., Ltd Photos of the company's temporary storage on site:

Implementation of E&S corrective action plan: 1) Establish dedicated GRM for the construction of new plants before the commencement of construction and ensure the workers of contractor also have access to the GRM. 2) Disclose the EIA of Chongqing plant on the website of Birdo by 30 September 2021. 3) provide necessary project information to LC and assist LC conduct ESDD for the proposed Shandong plant once the information is available. Birdo shall take corrective actions if any non-compliance	Birdo had taken corrective action according to the action plan 1) Partially done. The company had contact information on the official website: https://www.birdotech.com/zh/contact.html. The fund will continue to encourage the companies to establish more comprehensive and robust Grievance Redress Mechanisms.

is identified during the ESDD. 4) Incorporate the clauses related to minimum space, supply of water, adequate sewage and garbage disposal system, appropriate protection against heat, cold, damp, noise, fire and disease-carrying animals, adequate sanitary and washing facilities, ventilation, cooking and storage facilities natural and artificial lighting, as relevant basic medical services, freedom of movement and of association and good housekeeping into the civil work contract for the new plants. 5) disclose the EIA of Shandong Plant on the website of Birdo when it is ready; 6) conduct consultation and information disclosure with nearby community and other relevant stakeholders for the Chongqing Plant and Shandong Plant prior to commencement of construction. 7) continue to comply with the regulatory requirements and submit annual E&S	2) Done. The EIA was approved by Chongqing Municipal Ecology and Environment Bureau in July 2021. The full EIA was disclosed on: http://sthjj.cq.gov.cn. 3) Not applicable. Birdo cancelled the Shandong plant project in 2022. 4) Done. EHS clauses have been incorporated into the civil work contract of Chongqing plant.

report in the agreed format to LC. LC will conduct follow-up semi-annual E&S compliance auditing during the construction of Chongqing Plant and Shandong Plant and report the status in the annual E&S performance monitoring report to AIIB.	5) Not applicable. Birdo cancelled the Shandong plant project in 2022. 6) Done. For Chonqing plant: there is no residents or other plants in the surrounding. Two rounds of information disclosure and public consultation have been done in the EIA process. Project information was disclosed on Chongqing Evening News (19 Jan 2021 and 21 Jan 2021), website of Tongnan District Government (15 Jan 2021 and 9 July 2021) before submission to the Chongqing Ecology and Environment Bureau. The full EIA report was disclosed on the website of Chongqing Ecology and Environment Bureau (21 July 2021). No comments were received during the public notice period. Project information was disclosed on the entrance of the construction site. 7) Done

	8) Birdo provided annual E&S performance report for the Chongqing plant in 2024. Birdo has cancelled the Shandong plant project.

If there are projects under construction during the reporting period, please fill in the following table.

1. Please briefly describe the construction content, start time and expected completion time of the project under construction.

Project Name: CDMO production base of Birdo Pharmaceutical (Chongqing Plant);

Project Location: Chongqing Tongnan High-tech Zone Environmental Protection and Technology Industrial Park

Tongnan Industrial Park: The industrial park, established in 2009, has a planning area of 18 km^2. No issues regarding critical natural habitats and cultural heritage. The total population of Tongnan District is 0.64 million. Ethic minority accounts for 0.1% of the total population, including Zhuang, Miao, Hui, Buyi etc. However, No ethic minority in the project area.

Land acquisition: Birdo obtained the land use right through opening auction in Nov 2020 at price of CNY90,000/mu. The land acquisition was completed in March 2020. No legacy issues or court cases related to the land. The land auction information was disclosed on the website of Chongqing Municipal Government (http://www.cq.gov.cn/zwgk/wlzcwj/zbtb/tdjkyq/jygg2/202010/t20201013_7972715.html)

Construction scale: land area of 66675.34 m^2, about 100 mu.

Construction contents: 3 Class A workshops, 1 hydrogenation workshop, 1 comprehensive building, 1 power workshop, 1 Class A warehouse, 1 Class A 3, 4 warehouse, 1 hazardous waste warehouse (A), 1 Class C warehouse, Class A tank area and supporting loading and unloading area, sewage treatment station, fire pool, accident pool, security guard.

Commencement date: January 2022. One of the pilot workshops is expected to begin trial production inJuly 2025, The pilot products will include new and generic drugs, as well as intermediates, targeting areas such as anti-tumor, antiviral, anti-infective, antidiabetic, antidepressant, anti-rheumatoid arthritis, immunological, and cardiovascular treatments. The planned pilot production capacity is 192 tons per year. Other workshops will be updated according to the company's overall planning.

2. Has the project under construction obtained the relevant environmental social permit? If yes, please indicate the approval time and approval body (e.g. environmental impact assessment)

☒ Yes ☐ No

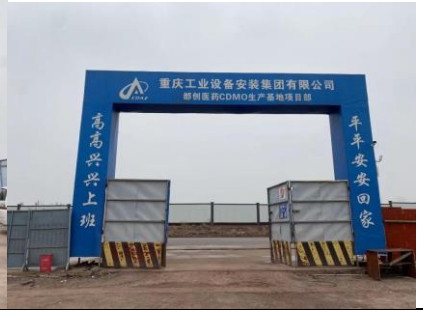
The land property certificate was obtained in 26 November 2020. The environmental impact assessment was approved by the Chongqing Ecological Environment Bureau on 6 September 2021. Approval Document No. Yu (Shi) Huan Zhu [2021] 031.

After obtaining the EIA approval, the construction unit commenced the project. The civil works for the comprehensive building, Class A Workshop I, Class A Workshop II (with no equipment installation in Phase I), and part of the warehouse have been completed. During the actual construction process, due to the impact of the recent overall economic situation, the company, taking into account factors such as funding and market orders, plans to implement the construction of the project in phases. Since the phased construction and adjustments to environmental protection measures resulted in significant changes to the project's environmental protection facilities. As a result, the company resubmitted the Environmental Impact Assessment for approval and obtained a new EIA approval on May 12, 2025 by the Chongqing Ecological Environment Bureau. Approval Document No. Yu (Shi) Huan Zhu [2025] 023.

3. Number of construction workers during peak period: 200.

4. Is there a construction camp on site, if so, please provide photos of the construction camp.

☒ Yes ☐ No



5. Please confirm that EHS requirements are incorporated into engineering, procurement, and construction contracts.

☒ Yes ☐ No

6. Please confirm whether the contractor has developed a construction site environmental management plan.

☒ Yes ☐ No

7. Whether to provide personal protective equipment to workers (please attach photos of workers on site construction)

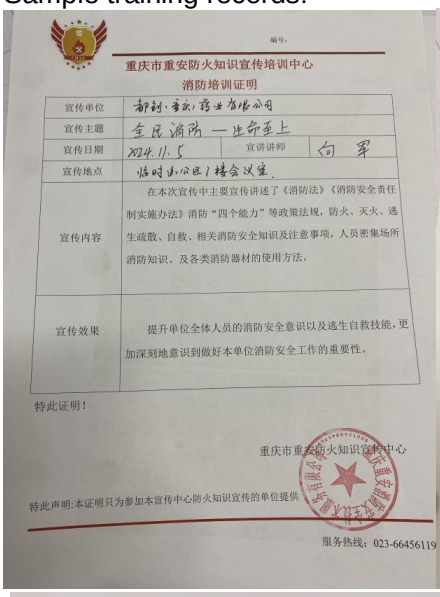
☒ Yes ☐ No

8. Whether EHS-related training is provided to workers on a regular basis (please attach training records)

☒ Yes ☐ No

SN	Topic	Frequency	Participants
1	New Workers Safety Education and training before work	All workers must complete the training before work.	All 274 workers
2	Firefighting training	Twice a year	All 274 workers
3	Safety production training	Quarterly (4 times)	All 274 workers
4	Identifying major accident hazards	Non scheduled	15

Sample training records:



编号：

重庆市重安防火知识宣传培训中心

消防培训证明

宣传单位	都利·重欧药业有限公司
宣传主题	全民消防 —生命至上
宣传日期	2024.11.5 宣讲讲师 向军
宣传地点	临时办公区1楼会议室
宣传内容	在本次宣传中主要宣传讲述了《消防法》《消防安全责任制实施办法》消防“四个能力”等政策法规，防火、灭火、逃生疏散、自救、相关消防安全知识及注意事项，人员密集场所消防知识、及各类消防器材的使用方法。
宣传效果	提升单位全体人员的消防安全意识以及逃生自救技能，更加深刻地意识到做好本单位消防安全工作的重要性。

特此证明！

重庆市重安防火知识宣传中心

特此声明:本证明只为参加本宣传中心防火知识宣传的单位提供

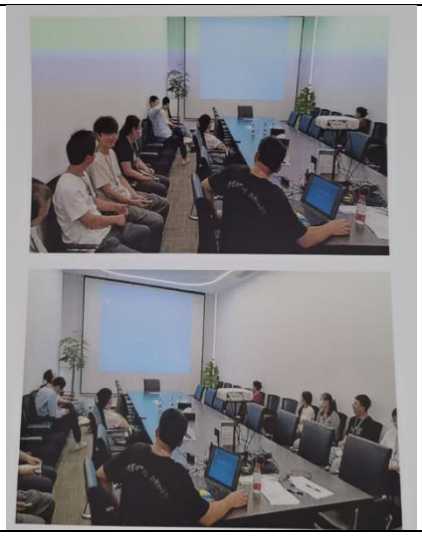
服务热线：023-66456119

全民消防，生命至上

——消防基础知识培训和事关案例学习培训现场

拍摄人：孙正东

日期：2024-11-06



9. Are real-time monitoring facilities for noise, PM_{10} and $PM_{2.5}$ installed and connected to the local government's control center?

☒ Yes☐ No

10. Whether the noise, PM_{10} and $PM_{2.5}$ monitoring results have exceeded the standard. If so, what corrective measures have been taken

☐ Yes ☒ No

11. Do contractor workers have access to the company's workplace-related grievance mechanisms? If not, how does the company ensure that contractor workers have avenues to raise grievances related to labor and working and employment conditions?

☒ Yes ☐ No

There are “Right protection information” published on the worker’s work site. Display basic information of construction, regulatory departments, and labor management personnel clearly. indicate labor laws and regulations, regulatory department complaints channels, labor dispute arbitration, and labor security complaint hotline, etc.

Appendix 3 E&S Monitoring Report-Biotheus

Company Name	Biotheus
Main business	Mainly engaged in the development and commercialization of innovative biologics, including antibody drugs and cell therapy products
Address	Headquartered in Zhuhai, Guangdong Province, China
Website / Homepage	www.biotheus.com
Management staff responsible for environmental and social affairs	Name: He Bingyan Position: senior director
Prepared by	Name: He Bingyan

<table>
<tr><td></td><td>Position: senior director

Contact information.13671667349</td></tr>
<tr><td>Reporting Period</td><td>1 Jan to 31 Dec, 2024</td></tr>
<tr><td>Number of employees</td><td><table>
<tr><td></td><td>Male</td><td>Female</td></tr>
<tr><td>Permanent staff</td><td>125</td><td>200</td></tr>
<tr><td>Outsourced employees</td><td>0</td><td>0</td></tr>
<tr><td>Management</td><td>61</td><td>59</td></tr>
</table></td></tr>
<tr><td>New jobs created during the reporting period</td><td>34</td></tr>
<tr><td>Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees</td><td>☐ Yes

☒ No</td></tr>
<tr><td>Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.</td><td>☒ Yes

☐ No</td></tr>
<tr><td>Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility</td><td>None</td></tr>
<tr><td>Whether major environmental and safety accidents/incidents have occurred in this reporting</td><td>None</td></tr>
</table>

period, if so, please briefly explain the causes of the accidents and the treatment plan	
Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None
Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	☐ Yes ☒ No
Please describe the environmental, health and safety training and fire drills organized during this reporting period	

Training Topics	Time	Training Target	Number of participants
Special training on safety during resumption of work and production	2024.2.20	Staffs of Nantong Factory	60

	2024 Safety Training	2024.11.26	Staffs of Nantong Factory	70
	Fire drill	2024.5.30	Staffs of Nantong Factory	50

Please confirm that the company does not employ child labor and forced labor	☒ Yes ☐ No
Hazardous waste	Category: Wastewater treatment sludge, Yield: 0.104t Category: Waste disposable consumables Yield: 21.789t Category: Waste organic solvents Yield: 0.76t Category: Waste filter Yield: 2.162t Category: Waste organic resin Yield: 1.444t Category: Hazardous waste packaging Yield: 0.32t Category: Waste chromatography media Yield: 1.796t Category: Purification system waste filter Yield: 0.798t Contracted company for hazardous waste disposal:

	Nantong Ruoqi Environmental Protection Technology Co., Ltd Photos of the company's temporary storage on site:
Implementation of E&S corrective action plan: 1. keep good records on management of hazardous waste, including amount generated, and duplicate forms for transfer of hazardous waste, and report to LC as part of the annual E&S report. 2. continue to comply with the regulatory requirements and submit annual E&S report in the agreed format to LC by end of January each year.	1. Done. Hazardous waste management records were provided to LC. Hazardous waste generation record:

废物类型	废物代码	国家危险废物名录名称	废物名称	单位	有害成分名称	形态	危险特性	产生情况	
								废物代码	产废量
HW02	276-001-02	利用生物技术...	废水处理污泥	吨	活性污泥	固态	毒性	276-001-02	0.104
HW02	276-002-02	利用生物技术...	废过滤器（含...	吨	过滤器、细胞...	固态	毒性	276-002-02	2.162
HW13	900-015-13	湿法冶金、表...	废有机树脂	吨	废有机树脂	固态	毒性	900-015-13	1.444
HW49	900-041-49	含有或沾染毒...	危险废包装（...	吨	包装容器、包...	固态	感染性,毒性	900-041-49	0.32
HW49	900-041-49	含有或沾染毒...	净化系统废过...	吨	过滤器、微生物	固态	感染性,毒性	900-041-49	0.798
HW49	900-041-49	含有或沾染毒...	废一次性耗材	吨	一次性手套抹...	固态	毒性	900-041-49	21.789
HW49	900-041-49	含有或沾染毒...	废层析填料	吨	层析填料、细...	固态	感染性,毒性	900-041-49	1.796
HW49	900-047-49	生产、研究、...	废有机溶剂	吨	含甲醇、乙腈...	液态	腐蚀性,易...	900-047-49	0.76

2. Done. The E&S report of Biotheus was provided to LC in March 2025.

If there are projects under construction during the reporting period, please fill in the following table.

1. Please briefly describe the construction content, start time and expected completion time of the project under construction.

- The construction of the R&D building, production building, warehouse, cell therapy and utility station and some ancillary buildings is underway, which was started on June 28, 2021, and completed in March 2023, get the property certificate at April 22, 2023.
- The internal decoration of Building 4 started in October 2023, and was completed in February 2024. Trial production was beginning in June 2024.

2. Has the project under construction obtained the relevant environmental social permit? If yes, please indicate the approval time and approval body (e.g. environmental impact assessment)

☒ Yes ☐ No

- The Environmental Impact Report for the Phase I of Biotheus Innovative Drug Production Project was approved by the Ecological Environment Bureau of Nantong Economic and Technological Development Zone on August 4,2021.
- The land use right with an area of 53,320.34 square meters was obtained in 7 April 2021.
- The land was located on the West of Tongsheng Avenue and North of Hexing Road, Nantong Economic and Technological Development Zone, the land was directly purchased from the Nantong government and the land was acquired by the Nantong government 8-9 years ago, so it does not involve any land acquisition and resettlement issues.
- The specific timeline for the implementation of the Project is as follows:
  - April 2021: Completed the land auction and purchase.
  - June 28, 2021: Obtained the construction permit and officially began construction.
  - February 22, 2023: Completed the factory building construction and carried out the five-party acceptance procedure for the factory.
  - April 20, 2023: Obtained the property ownership certificate for the Nantong production base.
  - October 2023: Began the electromechanical and interior construction of the Jinxing project (Building #4).
  - June 2024: Completed electromechanical installation and interior work, with production slated to commence.
  - September 2024：Completed Environmental Protection Completion Acceptance Monitoring Report and hold an expert consultation meeting for the completion acceptance of environmental protection measures.
  - The rectification was completed on September 30, 2024.

3. Number of construction workers during peak period: 300.

4. Is there a construction camp on site, if so, please provide photos of the construction camp.

☒ Yes ☐ No

5. Please confirm that EHS requirements are incorporated into engineering, procurement, and construction contracts.

☒ Yes ☐ No

6. Please confirm whether the contractor has developed a construction site environmental management plan.

☒ Yes ☐ No

7. Whether to provide personal protective equipment to workers (please attach photos of workers on site construction)

☒ Yes ☐ No

8. Whether EHS-related training is provided to workers on a regular basis (please attach training records)

☒ Yes ☐ No

三级安全教育花名册

单位名称（分包单位）/班组长：
工程名称：普米斯金星

编号：WJ-B-EHS-SOP-01-01

序号	姓名	性别	工种	手机号	现住地	身份证号码	进工地日期	离工地日期	备注
1	[illegible]	男	管工	13149[illegible]5376	海天花园	411327200005013194	2024.1.11		
2	[illegible]	男	管工	13[illegible]805971	海天花园	130129198311011013	2024.1.11		
3	[illegible]	男	管工	15240607577	海天花园	412724198407266117	2024.1.11		
4	[illegible]	男	焊工	18271151059		130131199302104511	2024.1.11		
5	[illegible]	男	管工	13927204770		411327200508183174	2024.1.11		
6	[illegible]	男	管工	13091611013	海天花园	130131200402151510	2024.1.11		
7	[illegible]	男	电工	18503934886	世茂[illegible]	410822197708152038	2024.1.11		
8	[illegible]	男	电工	15371708352	世茂[illegible]	320621197712647110	2024.1.11		
9									
10									
11									
12									
13									
14									
15									
16									
17									

施工人员三级安全教育卡

施工人员入场培训教育试题

9. Are real-time monitoring facilities for noise, PM_{10} and $PM_{2.5}$ installed and connected to the local government's control center?

☒ Yes ☐ No

10. Whether the noise, PM_{10} and $PM_{2.5}$ monitoring results have exceeded the standard. If so, what corrective measures have been taken

☐ Yes ☒ No

11. Do contractor workers have access to the company's workplace-related grievance mechanisms? If not, how does the company ensure that contractor workers have avenues to raise grievances related to labor and working and employment conditions?

☒ Yes ☐ No

Appendix 4 E&S Monitoring Report-Bioheart

<table>
<tr><td>Company Name</td><td>Shanghai Bio-heart Biological Technology Co., Ltd</td></tr>
<tr><td>Main business</td><td>Research and development of medical devices</td></tr>
<tr><td>Address</td><td>Room 302, Building 9 North, No. 590, Ruiqing Road, Pudong New Area, Shanghai, China</td></tr>
<tr><td>Website / Homepage</td><td>http://www.bio-heart.com/</td></tr>
<tr><td>Management staff responsible for environmental and social affairs</td><td>Name：Wang Yunqing
Position：CFO</td></tr>
<tr><td>Prepared by</td><td>Name：Sun Haoxin
Position：Investor Relationship
Contact：13584933648</td></tr>
<tr><td>Reporting Period</td><td>1 Jan to 31 Dec, 2024</td></tr>
<tr><td>Number of employees statistics</td><td><table><tr><td></td><td>Male</td><td>Female</td></tr><tr><td>Permanent staff</td><td>17</td><td>45</td></tr><tr><td>Outsourced employees</td><td>0</td><td>0</td></tr><tr><td>Management</td><td>7</td><td>1</td></tr></table></td></tr>
<tr><td>New jobs created during the reporting period</td><td>+31</td></tr>
<tr><td>Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees</td><td>☐ Yes
☒ No</td></tr>
<tr><td>Please confirm that the company fully complies with national and local environment, health and safety (EHS) and</td><td>☒ Yes
☐ No</td></tr>
</table>

labor-related laws and regulations.	
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	None
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None
Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None
Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	None
Please describe the environmental, health and safety	Training Topics / Time / Training Target / Number of participants

training and fire drills organized during this reporting period	<table><tr><td>Fire Safety training</td><td>2024.5.13</td><td>Frontline employee</td><td>8</td></tr><tr><td>Escape drill</td><td>2024.9.27</td><td>Frontline employee</td><td>12</td></tr></table>
Please confirm that the company does not employ child labor and forced labor	☒ Yes ☐ No
Hazardous waste	Category: 900-047-49 laboratory waste liquid, 0.225 tons/year 900-041-49 Lab waste glass, 0.05 tons/year Contracted company for hazardous waste disposal: Shanghai Tianhan Environmental Resources Co.
Implementation of E&S corrective action plan.	No corrective action is required based on the ESDD results.

Appendix 5 E&S Monitoring Report-Biomap

Company Name	Biomap (Beijing) Intelligent Technology Co.
Main business	Biological computing platform
Address	Room1-7, 5th floor, Building 1, NO.76 Zhichun Road , Haidian District, Beijing
Website / Homepage	www.biomap.com
Management staff responsible for environmental and social affairs	Name: Sun Xiaoyue Position: Operations Manager
Prepared by	Name: Lang Can Position: Legal Manager Contact: langcan@biomap.com
Reporting Period	1 January-31 December 2024
Number of employees statistics	

	Male	Female
Permanent Staff	89	36
Outsourced employees	0	1
Management	4	1

New jobs created during the reporting period	20

Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees	None. The total number of employees of the company in 2024 was approximately 60 less than that in 2023. In 2024, the company focus more on the research and development of products and the promotion of commercialization. Some positions in the early stage of data production were replaced by automation and other means. Most of the employees left due to normal staff turnover, and some were terminated through mutual agreement on job adjustments. Communicate one-on-one with the employees in advance to understand their demands, provide multiple option options, and make compensation in accordance with the law. As of now, no labor disputes have occurred.
Please Confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	☒Yes ☐ No
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	None
Whether major environmental	None

and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	
Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None
Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None

Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	None
Please describe the environmental, health and safety training and fire drills organized during this reporting period	

Training Topics	Date	Target	Number of participants
Introduction to Laboratory Biosafety and EHS	2024/1/5	Lab staffs	15
Cell room operation standards and biosafety	2024/4/12	Lab staffs	11
Laboratory biosafety training	2024/5/10	Lab staffs	6
Use and maintenance of safety equipment, facilities, and personal protective equipment	2024/6/7	Related users	5

	Firefighting and drills	2024/7/5	Lab staffs	12
	Regulations of Hazardous Materials in Laboratories	2024/8/9	Lab staffs	10
	Safety related laws, regulations, and case studies	2024/9/6	Lab staffs	5
	General requirements for laboratory biosafety	2024/11/8	Lab staffs	7

Please confirm that the company does not employ child labor and forced labor	☒ Yes ☐ No
Hazardous waste	Category, production volume, contracted third party for hazardous waste disposal:

SN	Hazardous Waste Name	Category of hazardous waste	Amount produced(tons/year)	Disposal agency
1	Class II laboratory waste	HW01	0.835	Zhangjiagang Huarui Hazardous Waste Treatment Center Co., Ltd
2	General laboratory waste	HW49	2.865	

	Total	**3.7**	

Temporary storage site for medical waste:

Temporary storage site for hazardous waste:

Environmental social corrective action plan implementation: 1) establish formal GRM form employees and external stakeholders with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclose the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days from receiving the corrective action plan from LC. 2) hire a licensed third party to handle the hazardous waste if the lab generates any waste in the list of "National Hazardous Waste List (2021 version, updated from time to time); 3) set up EHS related department and establish EHS system covering bio-safety, emergency response, chemical management, waste disposal etc. and provide adequate trainings to the staff once the labs are in use. 4) Continue to comply with the regulatory	1) Partially done, the company had contact information on the official website: https://www.biomap.com/cn/. The fund will continue to encourage the companies to establish more comprehensive and robust Grievance Redress Mechanisms. 2) Done. Beijing Runtai Environmental Protection Technology Co., Ltd, Beijing Jinyu Mangrove Environmental Technology Co. Ltd, Zhangjiagang Huarui Hazardous Waste Treatment Center Co., Ltd and Zhongxin Heshun Environmental Protection (Jiangsu) Co., Ltd were hired to handle the hazardous waste. 3) Done. Various EHS trainings regarding to biosafety, emergency response, safe operations were organized in 2024. 4) Done. The E&S report was provided to LC in March 2024.

requirements and submit annual E&S report in the agreed format to LC in January each calendar year.	

Appendix 6 E&S Monitoring Report-dMed (CAIDYA)

Company Name	dMed Biopharmaceutical Technology (Shanghai) Co.
Main business	Clinical Phase I-V Clinical R&D Outsourcing Services (CRO)
Address	Room 301-305, No.298 Xiangke Road, Pudong New Area, Shanghai
Website / Homepage	https://www.caidya.cn/
Management staff responsible for environmental and social affairs	Name: Qian Yingshi Position: Vice President, Global Head of Human Resources and Operations
Prepared by	Name: Yang Miaomiao Position: Human Resources and Operations Contact: 15821446566
Reporting Period	1 Jan to 31 Dec, 2024
Number of employees	

	Male	Female
Permanent Staff	76	277
Outsourced employees	0	0
Management	5	7

New jobs created during the reporting period	None
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees	☒ Yes ☐ No -50

	Reasons for cost-cutting and layoffs: To enhance operational efficiency and ensure the company's healthy and sustainable profits to better cope with market changes and guarantee the company's long-term sustainable development, based on the business situation, operational efficiency and future development needs, identify the positions that need to be optimized and arrange for the corresponding affected employees to leave by agreement. **Compliance with the layoff process:** The company's layoff process complies with relevant labor laws. For the affected employees, the company has provided a reasonable compensation plan in compliance with laws and regulations. At the same time, considering the needs of the employees, the company has given a certain degree of flexibility in the time of departure to help the employees make a smooth transition. No related labor arbitration incidents occurred afterward.
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	☒ Yes ☐ No
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	None

Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None
Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None
Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	None
Please describe the environmental, health and safety training and fire drills organized during this reporting period	

Topic	Date	Target	Participants
Wuhan office Fire Drill	2024.6.17	Wuhan staff	65

	Beijing office Fire Drill	2024.11.9	Beijing staff	4
	Shanghai office Fire Drill	2024.11.12	Shanghai staff	101
	Fire training	2024.12.24	All staff	353
Please confirm that the company does not employ child labor and forced labor	☒ Yes ☐ No			
Hazardous waste	Not applicable			
Implementation of E&S corrective action plan: As AIIB invested project, dMed is required to establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclose the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days from receiving the corrective action plan from LC.	1) Partially done, the company had contact information on the official website: https://www.caidya.com/about-us/esg/. The fund will continue to encourage the companies to establish more comprehensive and robust Grievance Redress Mechanisms.			

Appendix 7 E&S Monitoring Report-Sciwind

<table>
<tr><td>Company Name</td><td>Hangzhou Sciwind Biotechnology Co., Ltd.</td></tr>
<tr><td>Main business</td><td>Development of new biomolecules in metabolic diseases</td></tr>
<tr><td>Address</td><td>9th Floor, Building 2, No. 400 Fucheng Road,, Qiantang District, Hangzhou, China</td></tr>
<tr><td>Website / Homepage</td><td>http://www.sciwind.com.cn/</td></tr>
<tr><td>Management staff responsible for environmental and social affairs</td><td>Name: Pan Hai
Position: General Manager</td></tr>
<tr><td>Prepared by</td><td>Name: Li Qiushuo
Position: EHS
Contact: 15028633493</td></tr>
<tr><td>Reporting Period</td><td>1 Jan-31 Dec, 2024</td></tr>
<tr><td>Number of employees</td><td><table>
<tr><td></td><td>Male</td><td>Female</td></tr>
<tr><td>permanent Staff</td><td>43</td><td>72</td></tr>
<tr><td>Outsourced employees</td><td>0</td><td>1</td></tr>
<tr><td>Management</td><td>12</td><td>11</td></tr>
</table></td></tr>
<tr><td>New jobs created during the reporting period</td><td>None</td></tr>
<tr><td>Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees</td><td>No</td></tr>
</table>

Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	☒ Yes ☐ No
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	None
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None
Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None
Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and	None

whether any remain unresolved	
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	None
Please describe the environmental, health and safety training and fire drills organized during this reporting period	

Topic	Date	Target	Participant
EHS knowledge training	2024/9/13	All staff	115
Fire drill	2024/6/19	All staff	111
Emergency drill for pressure vessel accidents	2024/8/28	R1 forensic personnel	8
Special Inspection Training	2024/3/5	Part time safety guard	11
Highly toxic and easily producible drugs management	2024/7/4	Laboratory technician	62

	Occupational hazard certification training	2024/11/7	Employees exposed to occupational hazards	47

Please confirm that the company does not employ child labor and forced labor	☒ Yes ☐ No
Hazardous waste	Category: HW49 Production volume: 7.27 tons/year Disposal unit name: Beijing Jinyu Mangrove Environmental Protection Technology Co., Ltd and Beijing Xinxing Zhongcheng Environmental Technology Co., Ltd Photos of the company's temporary storage site:
Implementation of E&S corrective action plan: 1) The standard operation procedures and regulations regarding to lab	1) Done. The standard operation system was developed in Q1 of 2022, including management, disposal and emergency plans for laboratory hazardous chemicals, solid waste and liquid waste.

management, chemical use management, hazardous waste management and emergency preparedness plan shall be in place prior to operation of the R&D center, which is expected to be in May 2021. 2) The liquid waste and solid waste from labs are identified as hazardous waste. SciWind shall engage licensed third party to handle the hazardous waste prior to operation. 3) SciWind shall develop training plan and organize adequate trainings on EHS to the staff prior to operation, which is expected to be in May 2021. 4) Establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclose the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days receiving the recommended corrective action plan from LC. 5) Establish employee GRM procedures within 90 days from receiving the recommended corrective action plan from LC. 6) Ensure the contractors and/or suppliers comply with the national labor laws through complying the terms into the services contract with contractors/suppliers by March 2021.	2) Done. BBMG Environment. Co., Ltd and Beijing Xinxing Zhongcheng Environmental Technology Co., Ltd were engaged to handle the hazardous waste. 3) Done. An EHS Specialist has been assigned internally in Q1 of 2022. 4) Partially done, the company had contact information on the official website: https://www.sciwind.com.cn/contact/6.html. The fund will continue to encourage the companies to establish more comprehensive and robust Grievance Redress Mechanisms. 5) Done. Terms on requirements of national labor laws were included in the contract with contactor/supplier.

Appendix 8 E&S Monitoring Report-Bondent

<table>
<tr><td>Company Name</td><td>Shanghai Boendent Technology Co.</td></tr>
<tr><td>Main business</td><td>R&D, production and sales of oral medical devices</td></tr>
<tr><td>Address</td><td>Building 1, Xianggu, Lane 599, Shuangdan Road, Jiading District, Shanghai</td></tr>
<tr><td>Website / Homepage</td><td>www.bondent.com</td></tr>
<tr><td>Management staff responsible for environmental and social affairs</td><td>Name: Li Yanlong
Position: Board Secretary/Vice President</td></tr>
<tr><td>Prepared by</td><td>Name: Chen Litong
Position: Investment and Financing Manager
Contact: 18225878706</td></tr>
<tr><td>Reporting Period</td><td>1 Jan-31 Dec, 2024</td></tr>
<tr><td>Number of employees</td><td><table>
<tr><td></td><td>Male</td><td>Female</td></tr>
<tr><td>permanent Staff</td><td>393</td><td>275</td></tr>
<tr><td>Outsourced employees</td><td>137</td><td>43</td></tr>
</table></td></tr>
</table>

	Management	4	2	

New jobs created during the reporting period	None
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees	Yes, the company had laid off 91 employees during the reporting period due to business contraction. The process of layoff was complied with relevant labor laws. Employees were notified in advance and the company provided reasonable compensation, there were no negative impacts on the company's staff as far as know.
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	☒ Yes ☐ No
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	None
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None
Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None

Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	None
Please confirm that the company does not employ child labor and forced labor	☒ Yes ☐ No
Hazardous waste	Category: solid waste Amount: 28.35 tons/year Name of disposal unit: Jiangsu Qinyue Environmental Technology Co., Ltd. Photos of the company's temporary storage site:

Implementation of E&S corrective action plan:	None

Appendix 9 E&S Monitoring Report-Innostellar

<table>
<tr><td>Company Name</td><td>Innostellar Biotechnology Co.</td></tr>
<tr><td>Main business</td><td>Gene therapy innovative drug development, production and sales</td></tr>
<tr><td>Address</td><td>Room B216, No. 1077 Zhangheng Road, Pudong New Area, Shanghai</td></tr>
<tr><td>Website / Homepage</td><td>https://www.innostellarbio.cn/</td></tr>
<tr><td>Management staff responsible for environmental and social affairs</td><td>Name: Hu Yongsheng
Position: Deputy Manager of Engineering Department</td></tr>
<tr><td>Prepared by</td><td>Name: Yuan weijun
Position: President assistant, Deputy Director of Financing
Contact: 18600104164</td></tr>
<tr><td>Reporting Period</td><td>Jan 1-Dec 31, 2024</td></tr>
<tr><td>Number of employees</td><td><table>
<tr><td></td><td>Male</td><td>Female</td></tr>
<tr><td>permanent staff</td><td>56</td><td>72</td></tr>
<tr><td>Outsourced staff</td><td>0</td><td>0</td></tr>
<tr><td>Management</td><td>16</td><td>18</td></tr>
</table></td></tr>
<tr><td>New jobs created during the reporting period</td><td>15</td></tr>
<tr><td>Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees</td><td>None</td></tr>
</table>

Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	☒ Yes ☐ No
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	In 2024, Innostellar supported the "RP Light Love Alliance" National Patient Conference for visually impaired patients and provided corresponding medical support to them
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None
Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None
Please describe any public complaints or grievances received	None

<table>
<tr><td>during the reporting period and indicate how they were resolved and whether any remain unresolved</td><td></td></tr>
<tr><td>Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures</td><td>None</td></tr>
<tr><td>Please describe the environmental, health and safety training and fire drills organized during this reporting period</td><td><table>
<tr><td>Training Topics</td><td>Time</td><td>Training Target</td><td>No. of participants</td></tr>
<tr><td>Fire drill</td><td>2024/8/31</td><td>All staff in Suzhou office</td><td>72</td></tr>
<tr><td>Security Coordination Meeting</td><td>2024/5/30</td><td>Departmental Security Specialist</td><td>13</td></tr>
</table></td></tr>
<tr><td>Please confirm that the company does not employ child labor and forced labor</td><td>☒ Yes☐ No</td></tr>
<tr><td>Hazardous waste</td><td>Category: Solid waste and liquid waste

Yield: 117.6389 tons

Name of disposal unit: Suzhou New Area Environmental Protection Service Center Co., Ltd

Photos of temporary storage site:</td></tr>
</table>

Implementation of E&S corrective action plan: 1) The standard operation procedures and regulations regarding to lab management, chemical use management, hazardous waste management and emergency preparedness plan shall be in place prior to operation of the Suzhou R&D center, which is expected to be end of March 2021. 2) The liquid waste and solid waste from labs are identified as hazardous waste. Innostellar shall engage licensed third party to handle the hazardous waste prior to operation, which is expected to be end of March 2021. 3) Innostellar shall develop training plan and organize adequate trainings on EHS and bio-safety to the staff prior to operation, which is expected to be end of March 2021. 4) Properly dispose the test animals	1. Done. Management procedures and regulations on laboratory management have been established in June 2021; (relevant regulations was provided to LC). 2. Done. In January 2021, a qualified third party (Shanghai Tianhan Environmental Resources Co., Ltd.) has been hired to deal with hazardous waste; (a contract was provided to LC) 3. Done. EHS-related training has been conducted; (training records was provided to LC) 4. Done. The company's animals room was rental from PharmaLegacy, and the relevant biological waste was also entrusted to the company for processing. The pre clinical animal testing contract has been signed with Joinn Biologics, and Joinn Biologics has been entrusted to deal with the biological medical waste. Innostellar will inspect the compliance with contract clauses.

from pre-clinical trials as bio medical waste. 5) Establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclose the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days.	 实验动物使用许可证 许可证号：SYXK（沪）2024-0016 单位名称：澎立生物医药技术（上海）股份有限公司 法定代表人：JIFENG DUAN 设施地址：上海浦东新区伽利略路388弄7号楼 适用范围：地址一：SPF级小鼠、大鼠、地鼠、豚鼠；普通级兔、犬、猴、猪、地鼠、豚鼠（上海市浦东新区伽利略路388弄7号楼2层、3层）；地址二：普通级猴（上海市奉贤区奉金路166号-1第4幢3层） 有效期限：2024年06月07日 至 2029年06月06日 发证机关：上海市[illegible]委员会 发证日期：2024年06月07日 5. Done. GRM was established, and the contact information has been disclosed on the company's official website, WeChat and other channels to resolve any complaints raised by those affected if any.

If there are projects under construction during the reporting period, please fill in the following table.

12. Please briefly describe the construction content, start time and expected completion time of the project under construction. • Decoration and Renovation Project of Building C31 in Lanxin Qisheng (Suzhou) Biopharmaceutical Co., Ltd. Biological Nanotechnology Park • Start date: October 18, 2024 • Expected completion date: July, 2025
13. Has the project under construction obtained the relevant environmental social permit? If yes, please indicate the approval time and approval body (e.g. environmental impact assessment) ☐ Yes☒ No • The Main building's EIA was approved by the Administrative Approval Bureau of Suzhou Industrial Park in Oct 13th 2020, and get environmental acceptance in Jan 26th 2022. The company started decoration and renovation project of building C31 on Oct 18th 2024, The company is currently updating the EIA documentation , and the renewed EIA is expected to be approved in Aug 2025.
14. Number of construction workers during peak period: 30
15. Is there a construction camp on site, if so, please provide photos of the construction camp. ☐ Yes☒ No

16. Please confirm that EHS requirements are incorporated into engineering, procurement, and construction contracts.

☒ Yes ☐ No

17. Please confirm whether the contractor has developed a construction site environmental management plan.

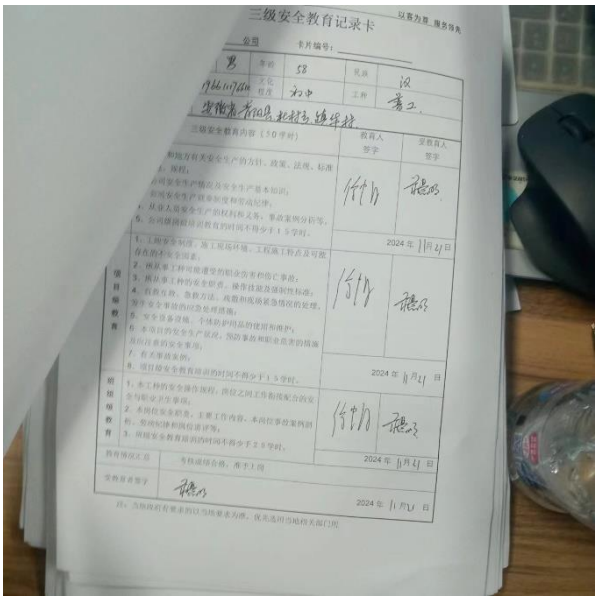
☒ Yes ☐ No

18. Whether to provide personal protective equipment to workers (please attach photos of workers on site construction)

☒ Yes ☐ No

19. Whether EHS-related training is provided to workers on a regular basis (please attach training records)

☒ Yes ☐ No



20. Are real-time monitoring facilities for noise, PM_{10} and $PM_{2.5}$ installed and connected to the local government's control center?

☐ Yes☒ No

21. Whether the noise, PM_{10} and $PM_{2.5}$ monitoring results have exceeded the standard. If so, what corrective measures have been taken

☐ Yes ☒ No

22. Do contractor workers have access to the company's workplace-related grievance mechanisms? If not, how does the company ensure that contractor workers have avenues to raise grievances related to labor and working and employment conditions?

☒ Yes ☐ No

On-site notice board displays the name and contact information of the person in charge of Party A, and records of all workers are properly maintained

Appendix 10 E&S Monitoring Report-Star Sports

<table>
<tr><td>Company Name</td><td>Beijing Star Sports Medical Equipment Co.</td></tr>
<tr><td>Main business</td><td>Sports medicine medical device production, research and development, sales</td></tr>
<tr><td>Address</td><td>A018\B018, Building 1, No.25, Jinghai 2nd Road, Yizhuang Economic & Technological Development Zone, Beijing</td></tr>
<tr><td>Website / Homepage</td><td>www.starsportmed.com</td></tr>
<tr><td>Management staff responsible for environmental and social affairs</td><td>Name: Xu Jingtao
Position: Human resource director</td></tr>
<tr><td>Prepared by</td><td>Name: Xu Jingtao
Position: Human resource director
Contact: 13718905797</td></tr>
<tr><td>Reporting Period</td><td>1 Jan-31 Dec, 2024</td></tr>
<tr><td>Number of employees</td><td><table>
<tr><td></td><td>Male</td><td>Female</td></tr>
<tr><td>permanent Staff</td><td>202</td><td>173</td></tr>
<tr><td>Outsourced</td><td>0</td><td>0</td></tr>
<tr><td>Management</td><td>28</td><td>12</td></tr>
</table></td></tr>
<tr><td>New jobs created during the reporting period</td><td>33</td></tr>
<tr><td>Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees</td><td>None</td></tr>
<tr><td>Please confirm that the company fully complies with national and local environment, health and</td><td>☒ Yes ☐ No</td></tr>
</table>

safety (EHS) and labor-related laws and regulations.	
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	1) Regularly carry out sports medical knowledge popularization activities in the community. 2) Organize sports medical lectures on-line to provide extensive information for sports medicine doctors
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None
Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None
Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	None

Please describe the environmental, health and safety training and fire drills organized during this reporting period	Training Topics	Time	Training Target	No. of participants
	EHS Training	2024.07	Personnel from all related departments	36
	Safe production training	2024.10	Related Employees	112
Please confirm that the company does not employ child labor and forced labor	☒ Yes☐ No			
Hazardous waste	None			
Implementation of E&S corrective action plan.	No corrective action plan is required.			

If there are projects under construction during the reporting period, please fill in the following table.

1. Please briefly describe the construction content, start time and expected completion time of the project under construction

The Suzhou Smart Factory is currently under construction. The land was located on the South of Huashihu Road and east of Qinan Road, Yangcheng Lake Town, Xiangcheng District, Suzhou City, the land was acquired through a public bidding by Suzhou municipal government, so it did not involve any land acquisition and resettlement issues.

- Timeline for the implementation of the Project is as follows:

- Nov 2023, The official listing announcement for the Suzhou Xingyue Land parcel was published, and the Star Sports company paid the bidding deposit;
- Dec 2023, complete the land bidding process, signed the land transfer contract and paid the land transfer fee along with relevant taxes.
- Jan 2024, obtained the land use right certificate and the construction permit;
- 20th Feb 2024, The Environmental Impact Report was approved by the Suzhou Ecological Environment Bureau.
- 26th Feb 2024，officially began construction.
- Apr 2025，Obtained the completion acceptance filing，The secondary renovation, landscaping of the plant area, and cleanroom construction projects have been initiated. The bidding process is currently underway, and construction is pending bid opening.

-

2. Has the project under construction obtained the relevant environmental social permit? If yes, please indicate the approval time and approval body (e.g. environmental impact assessment)

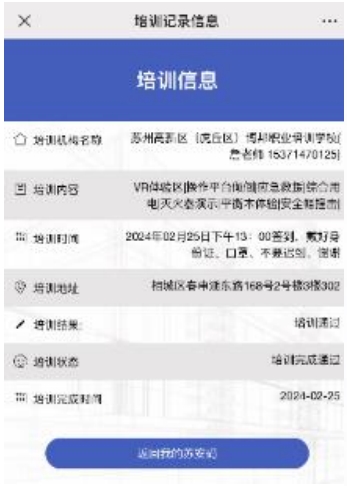
☒ Yes☐ No

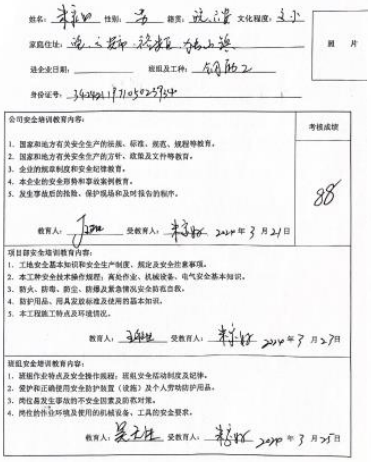
- The environmental impact assessment was approved by the Suzhou Ecological Environment Bureau on Feb 20, 2024.
- Suhuanjian [2024] No. 0028 (Issue 07) （http://sthjj.suzhou.gov.cn/szhbj/jspzxmgg/202402/7bd67336219e4d60b46e7a4daba66986.shtml）

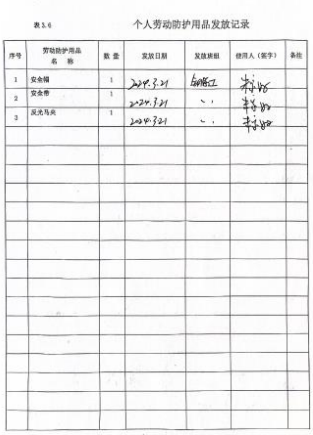
3. Number of construction workers during peak period: 200
4. Is there a construction camp on site, if so, please provide photos of the construction camp?   ☒ Yes☐ No
5. Please confirm that EHS requirements are incorporated into engineering, procurement and construction contracts. ☒ Yes☐ No
6. Please confirm whether the contractor has developed a construction site environmental management plan ☒ Yes ☐ No
7. Whether to provide personal protective equipment to workers (please attach photos of workers on site construction) ☒ Yes☐ No

8. Whether EHS-related training is provided to workers on a regular basis (please attach training records)

☒ Yes☐ No







9. Are real-time monitoring facilities for noise, PM10 and PM2.5 installed and connected to the local government's control center?

☒ Yes☐ No

10. Whether the noise, PM10 and PM2.5 monitoring results have exceeded the standard. If yes, what corrective measures have been taken

☐ Yes☒ No

11. Do contractor workers have access to the company's workplace-related grievance mechanisms? If not, how does the company ensure that contractor workers have channel to raise grievances related to labor and working and employment conditions?

☒ Yes☐ No

Appendix 11 E&S Monitoring Report-Hemo

<table>
<tr><td>Company Name</td><td>Hemo (China) Bioengineering Co., Ltd.</td></tr>
<tr><td>Main business</td><td>Medical device research and development, production and sales</td></tr>
<tr><td>Address</td><td>No. 300, Torch Road, Weihai High-tech Zone, Shandong</td></tr>
<tr><td>Website / Homepage</td><td>www.hemochina.com</td></tr>
<tr><td>Management staff responsible for environmental and social affairs</td><td>Name: Xia Jungang
Title: Director of Public Affairs</td></tr>
<tr><td>Prepared by</td><td>Name: Wang Xi
Position: Public Affairs Manager
Contact: 13406318988</td></tr>
<tr><td>Reporting Period</td><td>1 Jan-31 Dec, 2024</td></tr>
<tr><td>Number of employees</td><td><table>
<tr><td></td><td>Male</td><td>Female</td></tr>
<tr><td>permanent Staff</td><td>52</td><td>76</td></tr>
<tr><td>Outsourced</td><td>0</td><td>0</td></tr>
<tr><td>Management</td><td>12</td><td>8</td></tr>
</table></td></tr>
<tr><td>New jobs created during the reporting period</td><td>None</td></tr>
<tr><td>Were there any retrenchment during the reporting period,</td><td>No</td></tr>
</table>

and if so, please briefly describe the layoff program and number of employees	
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	☒ Yes☐ No
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	Carry out stroke science popularization activities to enhance community residents' awareness of stroke prevention and treatment and contribute to reducing stroke disability and fatality rates.
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None
Please describe any employee	The company has set up an employee suggestion box, established regulations for managing employee proposals and

complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	appeals, and the Human Resources and Administration Department is responsible for collecting and providing feedback. Currently, no employee complaints have been received.
Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	None
Please describe the environmental, health and safety training and fire drills organized	

Training Topics	Time	Training Target	No. of participants
Safety training	2024.2.21	All staff	77

during this reporting period	Dual prevention system operation	2024.10.10	All staff	72	
	Typical accident case study	2024.12.24	All staff	74	
Please confirm that the company does not employ child labor and forced labor	☒ Yes☐ No				
Hazardous waste	The production process generates a small amount of hazardous waste, and an agreement has been signed with a qualified company for hazardous waste treatment Category: acetic acid, perchloric acid Yield: acetic acid 5L, perchloric acid 9L Name of disposal unit: Weihai Environmental Protection Technology Service Co., Ltd. Photos of the company's temporary storage site: 				
Implementation of E&S corrective action plan:	The company continues to implement energy-saving and emission reduction activities to reduce the potential impact of production activities on the environment and environmental protection. Through technological improvements, process adjustments, and capacity rationalization measures, the				

1. establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclosed the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days from receiving the recommended corrective action plan from LC. 2. establish employment GRM including procedures and timeframe to address the complaints and concerns raised by the employees within 90 days receiving the recommended corrective action plan from LC. 3. Contract a licensed third party to handle the hazardous waste in line with the national laws and regulations once it generates hazardous waste.	company reduces production energy consumption, increases process efficiency, and controls the generation of production waste liquid based on actual production and market demand. 1) Partially done, the company had contact information on the official website: http://www.hemochina.com/public/zshz-106.html. The fund will continue to encourage the companies to establish more comprehensive and robust Grievance Redress Mechanisms. 2) Done. An employment GRM was established and released in August 2021. 3) Done. Weihai Environmental Protection Technology Service Co., Ltd was contracted to handle the hazardous waste.

Appendix 12 E&S Monitoring Report-Exegenesis

<table>
<tr><td>Company Name</td><td>Exegenesis Bio Inc.</td></tr>
<tr><td>Main business</td><td>Biomedicine and precision treatment</td></tr>
<tr><td>Address</td><td>Building 11, Hexiang Technology Center
Hangzhou, Zhejiang Province, China</td></tr>
<tr><td>Website / Homepage</td><td>www.exegenesisbio.com</td></tr>
<tr><td>Management staff responsible for environmental and social affairs</td><td>Name: Huang xiaojun
Position: Executive Director of Operations</td></tr>
<tr><td>Prepared by</td><td>Name: Qi Jiangming
Position: EHS Manager
Contact: 0571-87293725</td></tr>
<tr><td>Reporting Period</td><td>1 Jan-31 Dec, 2024</td></tr>
<tr><td>Number of employees</td><td><table><tr><td></td><td>Male</td><td>Female</td></tr><tr><td>permanent Staff</td><td>35</td><td>39</td></tr><tr><td>Outsourced</td><td>0</td><td>2</td></tr><tr><td>Management</td><td>9</td><td>9</td></tr></table></td></tr>
<tr><td>New jobs created during the reporting period</td><td>None</td></tr>
<tr><td>Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff</td><td>☒ Yes☐ No

Yes, the company had laid off 7 employees during the reporting period due to the reduce of R&D Pipeline.

The process of layoff was complied with relevant labor laws. Employees were notified in advance and the company</td></tr>
</table>

program and number of employees	provided reasonable compensation and provide re-employment recommendation support for some employees, there were no negative impacts on the company's staff as far as know.
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	None
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	None
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None

Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None
Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and	

Training Topics	Time	Training Target	No. of participants
Pre Spring Festival safety training	2024.2.6	Warehouse, Engineering Department, Production, Research and Development, Quality Control	7
Post Spring Festival safety training	2024.2.29	Warehouse, Engineering Department, Production, Research and	7

corrective measures			Development, Quality Control		
	Hazardous chemicals and hazardous waste training	2024.3.29	Warehouse, Engineering Department, Production, Research and Development, Quality Control	18	
	Occupational Health Training	2024.4.29	Warehouse, Engineering Department, Production, Research and Development, Quality Control	6	
	Hazard identification safety training	2024.5.30	Warehouse, Engineering Department, Production, Research and Development, Quality Control	9	
	Safety Month warning video	2024.6.12-6.13	All staff	43	
	Rescue knowledge	2024.7.19	Department head and safety officer	4	
	Emergency plan	2024.7.25	Warehouse, Engineering Department, Production, Research and Development, Quality Control	15	
	Biological laboratory	2024.8.29	Warehouse, Engineering	7	

	waste management		Department, Production, Research and Development, Quality Control	
	Gas cylinder safety	2024.9.26	Warehouse, Engineering Department, Production, Research and Development, Quality Control	9
	Hazardous chemicals and identification of environmental factors	2024.10.30	Warehouse, Engineering Department, Production, Research and Development, Quality Control	5
	Occupational health management knowledge	2024.11.28	Warehouse, Engineering Department, Production, Research and Development, Quality Control	8
	Basic knowledge of fire protection	2024.12.31	Warehouse, Engineering Department, Production, Research and Development, Quality Control	8
Please describe the environmental, health and safety training and fire	None			

drills organized during this reporting period	
Please confirm that the company does not employ child labor and forced labor	☒ Yes☐ No
Hazardous waste	

SN	Hazardous Waste Name	Amount produced(tons/year)	Disposal agency
1	Lab waste liquid	2.8542	Huzhou Lijia Environmental Service Co., Ltd.
2	Waste packaging materials	0.026	
3	Waste reagent bottle	0.0761	
4	Waste liquid	9.2427	
5	Waste activated carbon	0.24	
	Total	**12.439**	

Implementation of E&S corrective action plan:	1) Done. Internal channels for employee grievance feedback have been established, and a

1. establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclosed the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days receiving the recommended corrective action plan from LC. 2. establish employment GRM including procedures and timeframe to address the complaints and concerns raised by the employees within 90 days receiving the recommended corrective action plan from LC. 3. continue to comply with the regulatory requirements and submit annual E&S report in the agreed format to LC by end of January each year.	section on grievance mechanisms in the employee handbook was added in Q2 of 2022. 2) Partially done, the company had contact information on the official website: https://exegenesisbio.com/contact/. The fund will continue to encourage the companies to establish more comprehensive and robust Grievance Redress Mechanisms.

Appendix 13 E&S Monitoring Report-Lynk Pharma

<table>
<tr><td>Company Name</td><td>Lynk Pharmaceutical (Hangzhou) Co., Ltd.</td></tr>
<tr><td>Main business</td><td>Medicine drug research</td></tr>
<tr><td>Address</td><td>Room 402, Building 5, No. 291, Fucheng Road, Qiantang New District, Hangzhou</td></tr>
<tr><td>Website / Homepage</td><td>https://www.lynkpharma.com/</td></tr>
<tr><td>Management staff responsible for environmental and social affairs</td><td>Name: He Xin
Position: Head of EHS</td></tr>
<tr><td>Prepared by</td><td>Name: He Xin
Position: Head of EHS
Contact: 15382377755</td></tr>
<tr><td>Reporting Period</td><td>1 Jan-31 Dec, 2024</td></tr>
<tr><td>Number of employees</td><td><table>
<tr><td></td><td>Male</td><td>Female</td></tr>
<tr><td>permanent Staff</td><td>34</td><td>34</td></tr>
<tr><td>Outsourced</td><td>1</td><td>0</td></tr>
<tr><td>Management</td><td>12</td><td>6</td></tr>
</table></td></tr>
<tr><td>New jobs created during the reporting period</td><td>None</td></tr>
<tr><td>Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees</td><td>Yes, the company had laid off 12 employees during the reporting period due to the reduce of projects. The process of layoff was complied with relevant labor laws. Employees were notified in advance and the company provided reasonable compensation and provide re-employment recommendation support for some employees, there were no</td></tr>
</table>

	negative impacts on the company's staff as far as know.
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	☒ Yes☐ No
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	None
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None
Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None
Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None

<table>
<tr><td>Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures</td><td><table>
<tr><td>Training Topics</td><td>Time</td><td>Training Target</td><td>No. of participants</td></tr>
<tr><td>Emergency plan training</td><td>2024.8.24</td><td>Chemical division</td><td>10</td></tr>
</table></td></tr>
<tr><td>Please describe the environmental, health and safety training and fire drills organized during this reporting period</td><td>None</td></tr>
<tr><td>Please confirm that the company does not employ child labor and forced labor</td><td>☒ Yes☐ No</td></tr>
<tr><td>Hazardous waste</td><td>Category: Laboratory Waste Liquid (HW49 900-047-49)

Yield: 2.0 tons

Name of disposal unit: Hangzhou Yaogu Environmental Technology Co., Ltd

Photos of the company's temporary storage site:

 </td></tr>
</table>

Implementation of E&S corrective action plan: 1) establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclosed the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days receiving the recommended corrective action plan from LC. 2) Purchase spill containment trays and place the waste liquid container on the spill containment trays to prevent accidental spills within 90 days receiving the recommended corrective action plan from LC. 3) Develop annual EHS training program within 90 days receiving the recommended corrective action plan from LC and provided adequate trainings on EHS, especially on chemicals management and emergency preparedness to its staff every year. 4) establish employment GRM including procedures and timeframe to address the complaints and concerns raised by the employees within 90 days. 5) Continue to comply with the regulatory requirements and submit annual E&S report in the agreed format to LC by end of January each year.	Cooperate with safety inspections in parks, streets, and districts, and promptly rectify any problems and suggestions discovered Strengthen the management of hazardous waste and reduce environmental hazards 1) Partially done, the company had contact information on the official website: https://www.lynkpharma.com/. The fund will continue to encourage the companies to establish more comprehensive and robust Grievance Redress Mechanisms. 2) Done. Trays are provided on site. 3) Done. See the training records. 4) Done. The internal GRM was established in the 2025Q1 and published on the public board for all the employees' reference. 5) The E&S monitoring report was provided to LC in April 2024.

Appendix 14 E&S Monitoring Report-ForQaly

<table>
<tr><td>Company Name</td><td>ForQaly Medical Devices (Shanghai) Co., Ltd.</td></tr>
<tr><td>Main business</td><td>Ventricular assist system product development and manufacture</td></tr>
<tr><td>Address</td><td>No. 36, Lane 100, Banxia Road, Pudong New Area, Shanghai</td></tr>
<tr><td>Website / Homepage</td><td>www.forqaly.com</td></tr>
<tr><td>Management staff responsible for environmental and social affairs</td><td>Name: Shi Xuejiao
Position: Production Manager</td></tr>
<tr><td>Prepared by</td><td>Name: Zhang Jie
Position: President's Office Manager
Contact: 13585716290</td></tr>
<tr><td>Reporting Period</td><td>1 Jan-31 Dec, 2024</td></tr>
<tr><td>Number of employees</td><td><table>
<tr><td></td><td>Male</td><td>Women</td></tr>
<tr><td>Permanent Staff</td><td>45</td><td>47</td></tr>
<tr><td>Outsourced staff</td><td>0</td><td>1</td></tr>
<tr><td>Management</td><td>5</td><td>6</td></tr>
</table></td></tr>
<tr><td>New jobs created during the reporting period</td><td>None</td></tr>
<tr><td>Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and</td><td>None</td></tr>
</table>

number of employees	
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	☒ Yes☐ No
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	None
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None
Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and	None

whether any remain unresolved	
Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	None
Please describe the environmental, health and safety training and fire drills organized during this reporting period	

Training theme	Time	Target	No. of participants
Elevator safety usage training	2024/3/13	All staffs	90
Chemical, occupational health protection, and hazardous waste management training	2024/5/23	Production, Quality and Compliance, Products	8
Safety training	2024/6/21	Production, Quality and	9

			Compliance, Products	
	Hazard source identification and risk assessment training	2024/9/5 2024/9/11	Production Department, Purchasing Department, Products Department, Personnel Administration Department	23
	Laboratory safety training and emergency drills for chemical spills	2024/11/5	Production Department, Purchasing Department, Products Department, Personnel Administration Department	6
	Fire safety capability improvement and safety training	2024/11/21	All Staff	90

Please confirm that the company does not employ child labor and forced labor	☒ Yes☐ No
Hazardous waste	Shanghai Changying Environmental Service Company was engaged to handle the hazardous waste.

Hazardous waste category code	**Hazardous waste name**	**Weight**
900-047-49	Laboratory contaminated solid waste	0.1992t
900-047-49	Laboratory waste liquid	1.054t
900-041-49	Waste activated carbon	0.176t

	Photos of the company's temporary storage site.
Implementation of E&S corrective action plan: 1. establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclose the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days receiving the recommended corrective action plan from LC. 2. establish employment GRM including procedures and timeframe to address the complaints and concerns raised by the employees within 90 days. 3. Keep LC informed when environmental permits are renewed;	1. Partially done, the company had contact information on the official website: https://www.forqaly.com. The fund will continue to encourage the companies to establish more comprehensive and robust Grievance Redress Mechanisms. 2. Done in 2023, The internal GRM is established in the first quarter of 2023, the company regularly conducts employee satisfaction surveys as a supplement. 3. Done. The certificate of Shanghai Changying Environmental Service Company renewed to 25 Dec 2024. 4. The E&S report was provided to LC in April 2024.

4. Continue to comply with the regulatory requirements and submit annual E&S report in the agreed format to LC by end of January each year.	

Appendix 15 E&S Monitoring Report-HCSci

<table>
<tr><td>Company Name</td><td>HC Scientific (Chengdu) L.L.C</td></tr>
<tr><td>Main business</td><td>R&D, manufacturing, sales and after-sales service of life science and technology equipment, consumables, reagent automation and intelligent solutions</td></tr>
<tr><td>Address</td><td>Building H2, Tianfu International Bio-town, No. 17, Huigu West Second Road, Yong'an Town, Shuangliu District, Chengdu, Sichuan</td></tr>
<tr><td>Website / Homepage</td><td>www.hcsci.com</td></tr>
<tr><td>Management staff responsible for environmental and social affairs</td><td>Name: Yang Jingzhong
Position: Head of Operations Department</td></tr>
<tr><td>Prepared by</td><td>Name: Feng Haozhou
Position: Board Secretary
Contact: 15228983680</td></tr>
<tr><td>Reporting Period</td><td>1 Jan-31Dec, 2024</td></tr>
<tr><td>Number of employees</td><td><table>
<tr><td></td><td>Male</td><td>Female</td></tr>
<tr><td>permanent Staff</td><td>173</td><td>75</td></tr>
<tr><td>Outsourced</td><td>0</td><td>0</td></tr>
<tr><td>Management</td><td>18</td><td>7</td></tr>
</table></td></tr>
<tr><td>New jobs created during the reporting period</td><td>44</td></tr>
<tr><td>Were there any retrenchment during the reporting period,</td><td>None</td></tr>
</table>

and if so, please briefly describe the layoff program and number of employees	
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	☒ Yes☐ No
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	None
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None
Please describe any employee	None

complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	
Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	None

Please describe the environmental, health and safety training and fire drills organized during this reporting period	

Training Topics	Time	Training Target	No. of participants
Fire safety knowledge learning	2024.3.15	All staff	246
Regular safety training (labor protection supplies, equipment safety, equipment maintenance)	2024.5.13	Production operators/process/laboratory operators	67
Safety Law and Fire safety knowledge learning	2024.6.15-2024.6.30	All staff	235
Fire safety knowledge learning	2024.9.5-2024.9.23	All staff	235
Re-training and new training for safety production administrators	2024.9.23	Managers	3
Fire safety knowledge learning	2024.12.13 - 2024.12.27	All staff	248

Please confirm that the company does not employ child labor and forced labor	☒ Yes☐ No
Hazardous waste	Category: HW06, HW49, HW02

	Name of disposal unit: Sichuan Gerun Zhongtian Environmental Protection Technology Co., Ltd.
Implementation of E&S corrective action plan: 1. report to LC the progress of EHS system development and provide relevant documents as requested; 2. continue to comply with the regulatory requirements and submit annual E&S report in the agreed format to LC by end of January each calendar year. 3. establish employment GRM including procedures and timeframe to address the complaints and concerns raised by the employees within 90 days receiving the recommended corrective action plan from LC. 4. establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclosed the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days receiving the recommended corrective action plan from LC.	1. Done. The EHS system has been formulated for the management of hazardous chemicals and explosive chemicals. A training plan on EHS for 2022 has been drawn up and various EHS related trainings were organized in 2022. One EHS specialist was hired in 2022. 2. Done. The E&S report was provided to LC in April 2024. 3. Done. The employee grievance mechanism has been improved, and the employee grievance channels have been supplemented and updated in the employee handbook. 4. Partially done, the company had contact information on the official website: http://www.hcsci.com/index.html. The fund will continue to encourage the companies to establish more comprehensive and robust Grievance Redress Mechanisms.